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# Transmission and Multiplexing (TM); Spectral management on metallic access networks; Part 2: Technical methods for performance evaluations



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## **Foreword**

This Technical Report (TR) has been produced by ETSI Technical Committee Transmission and Multiplexing (TM).

The present document is part 2 of a multi-part deliverable covering Transmission and Multiplexing (TM); Access networks; Spectral management on metallic access networks, as identified below:

- Part 1: "Definitions and signal library".
- Part 2: "Technical methods for performance evaluations".
- Part 3: "Construction methods for spectral management rules".

NOTE: Part 3 is under preparation.

# 1 Scope

The present document gives guidance on a common methodology for studying the impact of noise on xDSL performance (maximum reach, noise margin, maximum bit rate) when changing parameters within various Spectral Management scenarios. These methods enable reproducible results and a consistent presentation of the assumed conditions (characteristics of cables and xDSL equipment) and configuration (chosen technology mixture and cable fill) of each scenario.

The technical methods include computer models for estimating:

- xDSL receiver capability of detecting signals under noisy conditions;
- xDSL transmitter characteristics;
- cable characteristics
- cross talk cumulation in cables, originating from a mix of xDSL disturbers;

The *objective* is to provide the technical means for evaluating the performance of xDSL equipment within a chosen scenario. This includes the description of *performance properties* of equipment.

Another objective is to assist the reader with applying this methodology by providing examples on how to specify the *configuration* and the *conditions* of a scenario in an unambiguous way. The distinction is that a configuration of a scenario can be controlled by access rules while the conditions of a scenario cannot.

Possible applications of this document include:

- Studying access rules, for the purpose of bounding the cross talk in unbundled networks.
- Studying deployment rules, for the various systems present in the access network.
- Studying the impact of cross talk on various technologies within different scenarios.

The scope of this Spectral Management document is explicitly restricted to the methodology for defining scenarios and quantifying the performance of equipment within such a scenario. All judgement on what access rules are required, what performance is acceptable, or what combinations are spectral compatible, is explicitly beyond the scope of this document. The same applies for how realistic the example scenarios are.

The models in this document are not intended to set requirements for DSL equipment. These requirements are contained in the relevant transceiver specifications. The models in this document are intended to provide a reasonable estimate of real-world performance but may not include every aspect of modem behaviour in real networks. Therefore real-world performance may not accurately match performance numbers calculated with these models.

# 2 References

For the purposes of this Technical Report (TR) the following references apply:

#### SpM

[1]	ETSI TR 101 830-1 (v1.3.1): "Transmission and Multiplexing (TM); Spectral Management on
	metallic access networks; Part 1: Definitions and signal library".

[2] ANSI T1E1.4, T1.417-2003: "Spectrum Management for loop transmission systems".

### **ISDN**

[3] ETSI TS 102 080 (v1.4.1): "Transmission and Multiplexing (TM); Integrated Services Digital Network (ISDN) basic rate access; Digital transmission system on metallic local lines".

[4] ETSI TS 101 135 (v1.5.3): "Transmission and Multiplexing (TM); High bit-rate Digital Subscriber Line (HDSL) transmission systems on metallic local lines; HDSL core specification and applications for combined ISDN-BA and 2 048 kbit/s transmission".

## **SDSL**

- [5] ETSI TS 101 524 (v1.2.1): "Transmission and Multiplexing (TM); Access transmission system on metallic access cables; Symmetrical single pair high bit rate Digital Subscriber Line (SDSL)".
- [6] ITU-T Recommendation G.991.2 (12/03): "Single-Pair High-Speed Digital Subscriber Line (SHDSL) transceivers".

#### **ADSL**

- [7] ETSI TS 101 388 (v1.3.1): "Transmission and Multiplexing (TM); Access transmission systems on metallic access cables; Asymmetric Digital Subscriber Line (ADSL) European specific requirements".
- [8] ITU-T Recommendation G.992.1: "Asymmetric digital subscriber line (ADSL) transceivers".
- [9] ITU-T Recommendation G.992.3: "Asymmetric digital subscriber line (ADSL) transceivers 2 (ADSL2)".

## 3 Definitions and abbreviations

## 3.1 Definitions

For the purposes of the present documents on spectral management, the following terms and definitions apply:

**Local Loop Wiring**: Part of a metallic access network, terminated by well-defined ports, for transporting signals over a distance of interest. This part includes mainly cables, but may also include a main distribution frame (MDF), street cabinets, and other distribution elements. The local loop wiring is usually passive only, but may include active splitter-filters as well.

**Loop provider**: Organization facilitating access to the local loop wiring. (NOTE: In several cases the loop provider is historically connected to the incumbent network operator, but other companies may serve as loop provider as well.)

**Network operator**: Organization that makes use of a local loop wiring for transporting telecommunication services. (NOTE: This definition covers incumbent as well as competitive network operators.)

**Access Port**: An Access Port is the physical location, appointed by the loop provider, where signals (for transmission purposes) are injected into the local loop wiring.

**NT-access port** (or NT-port for short): is an access port for injecting signals, designated as "NT-port". *NOTE: Such a port is commonly located at the customer premises, and intended for injecting "upstream" signals.* 

**LT-access port** (or LT-port for short): is an access port for injecting signals, designated as "LT-port". *NOTE: Such a port is commonly located at the central office side, and intended for injecting "downstream" signals.* 

Transmission technique: electrical technique used for the transportation of information over electrical wiring.

**Transmission equipment**: equipment connected to the local loop wiring that uses a transmission technique to transport information.

**Transmission system**: A set of transmission equipment that enables information to be transmitted over some distance between two or more points.

**Upstream transmission**: transmission direction from a port, labelled as NT-port, to a port, labelled as LT-port. This direction is usually from the customer premises, via the local loop wiring, to the central office side.

**Downstream transmission**: transmission direction from port, labelled as LT-port, to a port, labelled as NT-port. This direction is usually from the central office side via the local loop wiring, to the customer premises.

**Noise margin:** the ratio  $(P_{n2}/P_{n1})$  by which the received noise power  $P_{n1}$  may increase to power  $P_{n2}$  until the recovered signal no longer meets the predefined quality criteria. This ratio is commonly expressed in dB.

**Signal margin:** the ratio  $(P_{s1}/P_{s2})$  by which the received signal power  $P_{s1}$  may decrease to power  $P_{s2}$  until the recovered signal no longer meets the predefined quality criteria. This ratio is commonly expressed in dB.

Max data rate: the maximum data rate that can be recovered according to predefined quality criteria, when the received noise is increased with a chosen noise margin (or the received signal is decreased with a chosen signal margin).

**Performance:** is a measure of how well a transmission system fulfils defined criteria under specified conditions. Such criteria include reach, bit rate and noise margin.

**Access Rule**: Mandatory rule for achieving access to the local loop wiring, equal for all network operators who are making use of the same network cable that bounds the cross talk in that network cable.

**Deployment Rule**: Voluntary rule, irrelevant for achieving access to the local loop wiring and proprietary to each individual network operator. (NOTE: A deployment rule reflects a network operator's own view about what the maximum length or maximum bit rate may be for offering a specific transmission service to ensure a chosen minimum quality of service.)

**Spectral management rule**: A generic term, incorporating (voluntary) deployment rules, (mandatory) access rules and all other (voluntary) measures to maximize the use of local loop wiring for transmission purposes.

**Spectral management**: The art of making optimal use of limited capacity in (metallic) access networks. This is for the purpose of achieving the highest reliable transmission performance and includes:

- Designing of deployment rules and their application.
- Designing of effective access rules.
- Optimised allocation of resources in the access network, e.g. access ports, diversity of systems between cable bundles, etc.
- Forecasting of noise levels for fine-tuning the deployment.
- Spectral policing to enforce compliance with access rules.
- Making a balance between conservative and aggressive deployment (low or high failure risk).

**Spectral compatibility:** A generic term for the capability of transmission systems to operate in the same cable. *NOTE: The precise definition is application dependent and has to be defined for each group of applications.* 

**Cable management plan** (CMP): A list of selected access rules dedicated to a specific network. This list may include associated descriptions and explanations.

**Cable fill:** (or degree of penetration): number and mixture of transmission techniques connected to the ports of a binder or cable bundle that are injecting signals into the access ports.

Signal Category: is a class of signals meeting the minimum set of specifications identified in [1].

NOTE: Some signal categories may distinct between different sub-classes, and may label them for instance as signals for "downstream" or for "upstream" purposes.

**PSD mask**: The absolute upper bound of a PSD, measured within a specified resolution band. *NOTE: The purpose of PSD masks is usually to specify maximum PSD levels for stationary signals.* 

**PSD template**: The expected average PSD of a stationary signal.

NOTE: The purpose of PSD templates is usually to perform simulations. The levels are usually below or equal to the associated PSD masks

**Power back-off**: is a generic mechanism to reduce the transmitter's output power.

NOTE: It has many purposes, including the reduction of power consumption, receiver dynamic range, cross talk, etc.

**Power cut-back**: is specific variant of power back-off, used to reduce the dynamic range of the receiver. It is characterized by a frequency independent reduction of the in-band PSD.

NOTE: It is used, for instance, in ADSL and SDSL.

**EC:** The abbreviation EC normally means Echo Cancelled.

NOTE: This abbreviation is used within the context of ADSL to designate ADSL systems with spectral overlap of downstream and upstream signals. In this context, the usage of the abbreviation "EC" was only kept for historical reasons. The usage of the echo cancelling technology is not only limited to spectrally overlapped systems, but can also be used by FDD systems.

**Victim modem**: a modem, subjected to interference (such as cross talk from all other modems connected to other wire pairs in the same cable) that is being studied in a spectral management analysis. This term is intended solely as a technical term, defined within the context of these studies, and is not intended to imply any negative judgement.

**Victim wire pair:** a wire pair, subjected to interference (such as cross talk from all other modems connected to other wire pairs in the same cable) that is being studied in a spectral management analysis. This term is intended solely as a technical term, defined within the context of these studies, and is not intended to imply any negative judgement.

**Disturber**: a source of interference in spectral management studies coupled to the victim wire pair. This term is intended solely as a technical term, defined within the context of these studies, and is not intended to imply any negative judgement.

**Disturbing wire pair**: a wire pair carrying a signal from a modem identified as a disturbing modem. This term is intended solely as a technical term, defined within the context of these studies, and is not intended to imply any negative judgement.

NOTE: These definitions are intended to replace the definitions currently in part 1 (See [1], ETSI TR 101 830-1). Future revisions of part 1 will adopt these definitions as well.

## 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

ADSL Asymmetric Digital Subscriber Line

AKA Also Known As BER Bit Error Ratio

CAP Carrier less Amplitude/Phase modulation

CMP Cable Management Plan
DMT Discrete Multitone modulation
DFE Decision Feedback Equalizer

EC Echo Cancelled
EPL Estimated Power Loss
FBL Fractional Bit Loading

FDD Frequency Division Duplexing/Duplexed

FSAN Full Service Access Network GABL Gain adjusted Bit Loading

HDSL High bit rate Digital Subscriber Line ISDN Integrated Services Digital Network

LT-port Line Termination port (commonly at central office side)

LTU Line Termination Unit

NT-port Network Termination port (commonly at customer side)

NTU Network Termination Unit PAM Pulse Amplitude modulation

PBO Power Back-Off PCB Power Cut-Back

PSD Power Spectral Density (single sided)
QAM Quadrature Amplitude modulation

RBL Rounded Bit Loading

REC Receiver

SDSL	Symmetrical (single pair high bit rate) Digital Subscriber Line
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SNR Signal to Noise Ratio (ratio of powers)

TBD To be defined / decided TBL Truncated Bit Loading

TRA Transmitter

UC Ungerboeck Coded (also known as trellis coded)

VDSL Very-high-speed Digital Subscriber Line xDSL (all systems) Digital Subscriber Line

2B1Q 2-Binary, 1-Quaternary (*Use of 4-level PAM to carry two buts per pulse*)

# 4 Transmitter signal models for xDSL

A transmitter model in this clause is mainly a PSD description of the transmitted signal under matched conditions, plus an output impedance description to cover mismatched conditions as well.

PSD <u>masks</u> of transmitted xDSL signals are specified in several documents for various purposes, for instance in Part 1 of Spectral Management [1]. These PSD masks, however, cannot be applied directly to the description of a transmitter model. One reason is that masks are specifying an upper limit, and not the expected (averaged) values. Another reason is that the definition of the true PSD of a time-limited signal requires no resolution bandwidth at all (it is defined by means of an autocorrelation, followed by a Fourier transform) while PSD <u>masks</u> do rely on some resolution bandwidth. They describe values that are (slightly) different from the true PSD; especially at steep edges (e.g. guard bands), and for modelling purposes this difference is sometimes very relevant.

To differentiate between several PSD descriptions, *masks* and *templates* of a PSD are given a different meaning. Masks are intended for proving compliance to standard requirements, while templates are intended for modelling purposes. This clause summarise various xDSL transmitter models, by defining *template* spectra of output signals.

In some cases, models are marked as "default" and/or as "alternative". Both models are applicable, but in case a preference of either of them does not exist, the use of the "default" models is recommended. Other (alternative) models may apply as well, provided that they are specified.

# 4.1 Generic transmitter signal model

A generic model of an xDSL transmitter is essentially a linear signal source. The Thevenin equivalent of such a source equals an ideal voltage source  $U_s$  having a real resistor  $R_s$  in series. The output voltage of this source is random in nature (as a function of the time), and occupies a relatively broad spectrum. Correlation between transmitters is taken to be negligible. The autocorrelation properties of a transmitter's signal are taken to be adequately represented by a PSD template.

This generic model can be made specific by defining:

- The output impedance  $R_s$  of the transmitter.
- The template of the PSD, measured at the output port, when terminated with an external impedance equal to  $R_s$ . This is identified as the "matched condition", and under these conditions the output power equals the maximum power that is available from this source. Under all other (mis-matched) termination conditions the output power will be lower.

# 4.2 Transmitter signal model for "ISDN.2B1Q"

The PSD template for modelling the "ISDN.2B1Q" transmit spectrum is defined by the theoretical sinc-shape of PAM encoded signals, with additional filtering and with a noise floor. The PSD is the maximum of both power density curves, as summarised in expression 1 and the associated table 1. The coefficient  $q_N$  scales the total signal power of  $P_1(f)$  to a value that equals  $P_{\text{ISDN}}$ . This value is dedicated to the used filter characteristics, but equals  $q_N=1$  when no filtering is applied  $(f_L \rightarrow 0, f_H \rightarrow \infty)$ . The source impedance equals  $135\Omega$ .

$$P_{1}(f) = P_{ISDN} \times \frac{2 \times q_{N}}{f_{X}} \times \operatorname{sinc}^{2} \left(\frac{f}{f_{X}}\right) \times \frac{1}{1 + \left(\frac{f}{f_{H}}\right)^{2 \cdot N_{H}}} \times \frac{1}{1 + \left(\frac{f_{L}}{f}\right)^{2}} \qquad [W/Hz]$$

$$P_{2}(f) = \frac{10^{(P_{floor\_dBm}/10)}}{1000} \qquad [W/Hz]$$

$$P(f) = \max(P_{1}(f), P_{2}(f)) \qquad [W/Hz]$$

$$\frac{Where:}{P_{ISDN}} = \left(10^{P_{ISDN\_dBm}/10}\right) / 1000 \quad [W]$$

$$R_{S} = 135 \quad [\Omega]$$

$$\operatorname{sinc}(x) = \sin(\pi \cdot x) / (\pi \cdot x)$$

$$\operatorname{Default values for remaining parameters are summarised in table 1.}$$

Expression 1: PSD template for modelling "ISDN.2B1Q" signals.

Different ISDN implementations, may use different filter characteristics, and noise floor values. Table 1 specifies *default* values for ISDN implementations, in the case where 2<sup>nd</sup> order Butterworth filtering has been applied. The default noise floor equals the maximum PSD level that meets the out-of-band specification of the ISDN standard [3].

Type	f <sub>χ</sub> [kHz]	<i>f</i> ⊦ [kHz]	<i>f</i> ∟ [kHz]	N <sub>H</sub>	<b>q</b> n	P <sub>ISDN_dBm</sub> [dBm]	P <sub>floor_dBm</sub> [dBm/Hz]
ISDN.2B1Q	80	1× <i>f</i> <sub>x</sub>	0	2	1.1257	13.5	-120

Table 1: Default parameter values for the ISDN.2B1Q templates, as defined in expression 1. These default values are based on 2<sup>nd</sup> order Butterworth filtering.

# 4.3 Line-shared signal model for "ISDN.2B1Q"

The PSD template for modeling the filtered signal from an ISDN.2B1Q transmitter that has passed a low-pass splitter/filter for sharing the line with ADSL signals, is defined in table 2 in terms of break frequencies. It has been constructed from the transmitter PSD template, filtered by the low-pass transfer function representing the splitter/filter.

The values are based on measurements on these modems. The associated values are constructed with straight lines between these break frequencies, when plotted against a *logarithmic* frequency scale and a *linear* dBm scale.

ISDN.2B1Q f [Hz] 1 k	(135W) P [dBm/Hz] -40,1 -40,3 -41,0
1 k	-40,1 -40,3
	-40,3
10 k	<i>1</i> 1 O
20 k	
30 k	-42,2
40 k	-44,1
50 k	-46,8
60 k	-51,1
65 k	-54,2
70 k	-58,3
75 k	-65,1
80 k	-127,0
85 k	-66,9
90 k	-61,9
100 k	-59,0
110 k	-61,2
115 k	-65,9
120 k	-70,9
125 k	-81,7
130 k	-93,0
135 k	-106,1
140 k	-119,4
145 k	-134,1
150 k	-138,0
160 k	-140,0
170 k	-140,0
180 k	-137,2
190 k	-136,2
200 k	-136,8
210 k	-138,8
220 k	-140,0
30 M	-140,0

Table 2: PSD template for modeling line shared "ISDN.2B1Q" signals.

# 4.4 Transmitter signal model for "ISDN.MMS43" (aka 4B3T)

The PSD template for modelling the "ISDN.MMS43" transmit spectrum (also known as ISDN.4B3T) is defined by a combination of a theoretical curve and a noise floor. The PSD is the maximum of both power density curves, as summarised in expression 2. The source impedance equals  $150\Omega$ .

$$P_{1}(f) = P_{ISDN} \times \frac{2}{f_{0}} \times \operatorname{sinc}^{2} \left(\frac{f}{f_{0}}\right) \times \operatorname{sinc}^{2} \left(\frac{f - f_{P1}}{f_{0}}\right) \times \operatorname{sinc}^{2} \left(\frac{f - f_{P2}}{f_{0}}\right) \times \frac{1}{1 + \left(\frac{f}{f_{L1}}\right)^{4}} \times \frac{1}{1 + \left(\frac{f}{f_{L2}}\right)^{4}} \quad [W/Hz]$$

$$P_{2}(f) = \frac{10^{(P_{floor\_dBm}/10)}}{1000} \qquad [W/Hz]$$

$$P(f) = \begin{cases} P_{1}(f) & \text{when } f < f_{q} \\ P_{2}(f) & \text{when } f \ge f_{q} \end{cases}$$

$$[W/Hz]$$

Where:

$$\begin{split} P_{ISDN} &= \left(10^{P_{ISDN\_dBm}/10}\right) / 1000 \quad \text{[W]}, \ P_{ISDN\_dBm} = 13,5 \text{ dBm} \\ P_{floor} &= \left(10^{P_{floor\_dBm}/10}\right) / 1000 \quad \text{[W/Hz]}, \qquad P_{floor\_dBm} = -125 \quad \text{dBm/Hz} \\ f_0 &= 120 \text{ kHz}; \quad f_{P1} = 1020 \text{ kHz}; \quad f_{P2} = 1860 \text{ kHz}; \quad f_{L1} = 80 \text{ kHz}; \quad f_{L2} = 1020 \text{ kHz}; \quad f_{q} = 2180 \text{ kHz}; \\ \text{sinc}(x) &= \sin(\pi \cdot x) / (\pi \cdot x) \end{split}$$

Expression 2: PSD template for modelling "ISDN.MMS43" signals.

# 4.5 Line-shared signal model for "ISDN.MMS43" (aka 4B3T)

The PSD template for modeling the filtered signal from an ISDN.MMS43 transmitter (also known as ISDN.4B3T), that has passed a low-pass splitter/filter for sharing the line with ADSL signals, is defined in table 3 in terms of break frequencies. It has been constructed from the transmitter PSD template, filtered by the low-pass transfer function representing the splitter/filter.

The values are based on measurements on these modems. The associated values are constructed with straight lines between these break frequencies, when plotted against a *logarithmic* frequency scale and a *linear* dBm scale.

Line-shared	(450 W)
ISDN.MMS.43	(150 W)
f [Hz]	P [dBm/Hz]
1 k	-42,5
10 k	-42,6
20 k	-42,9
30 k	-43,4
40 k	-44,2
50 k	-45,3
60 k	-46,8
70 k	-48,9
80 k	-51,7
90 k	-55,3
100 k	-60,6
110 k	-70,1
115 k	-83,0
120 k	-96,0
125 k	-109,1
130 k	-114,3
135 k	-124,0
140 k	-132,7
150 k	-131,5
170 k	-130,8
190 k	-133,7
200 k	-135,8
210 k	-138,6
216 k	-140,0
30 M	-140,0

Table 3: PSD template for modeling line shared "ISDN.MMS.43" signals.

# 4.6 Transmitter signal model for "HDSL.2B1Q"

The PSD templates for modelling the spectra of various "HDSL.2B1Q" transmitters are defined by the theoretical sincshape of PAM encoded signals, with additional filtering and a noise floor. The PSD template is the maximum of both power density curves, as summarised in expression 3 and associated table 4.

The coefficient  $q_N$  scales the total signal power of  $P_1(f)$  to a value that equals  $P_0$ . This value is dedicated to the filter characteristics used, but equals  $q_N=1$  when no filtering is applied  $(f_L \rightarrow 0, f_H \rightarrow \infty)$ . The source impedance equals 135 $\Omega$ .

$$P_{1}(f) = P_{HDSL} \times \frac{2 \times q_{N}}{f_{X}} \times \operatorname{sinc}^{2} \left(\frac{f}{f_{X}}\right) \times \frac{1}{1 + \left(\frac{f}{f}\right)^{2}} \times \frac{1}{1 + \left(\frac{f}{f}\right)^{2 \cdot N_{H1}}} \times \frac{1}{1 + \left(\frac{f}{f}\right)^{2 \cdot N_{H2}}}$$
 [W/Hz]

$$P_2(f) = \frac{10^{(P_{floor\_dBm}/10)}}{1000}$$
 [W/Hz]

$$P(f) = \max(P_1(f), P_2(f))$$
 [W/Hz]

Where:

$$\overline{P_{HDSL}} = \left(10^{P_{HDSL_dBm}/10}\right)/1000 \text{ [W]}$$

 $R_{\rm S} = 135 \ [\Omega]$ 

 $\operatorname{sinc}(x) = \sin(\pi \cdot x) / (\pi \cdot x)$ 

Default values for remaining parameters are summarised in table 4.

Expression 3: PSD template for modelling "HDSL.2B1Q" signals.

Different HDSL implementations, may use different filter characteristics, and noise floor values. Table 4 summarises *default* values for modelling HDSL transmitters (name starting with a "D"), as well as *alternative* values (name starting with an "A"). The power level  $P_{\rm HDSL}$  equals the maximum power allowed by the HDSL standard [4], since a nominal value does not exist in that standard. The noise floor  $P_{\rm floor}$  equals a value observed for various implementations of HDSL.2B1Q/2, and assumed to be valid for other HDSL.2B1Q variants too.

NOTE: Model A2.1 assumes a minimum amount of filtering that is required to meet the transmit specifications in [4]. Model D2 outperforms these transmit requirements by assuming the application of higher order filtering. Nevertheless, model D2 is identified as a "default" model, in stead of A2.1, because it has been demonstrated that several commonly used chipsets have implemented this additional filtering. When spectral compatibility studies show that model D2 is significantly friendlier to other systems in the cable then model A2.1, is recommended to verify that model D2 is adequate for de HDSL modem under study.

Name	Type	f <sub>X</sub> kHz	<i>f</i> ∟ kHz	<i>f</i> <sub>H1</sub>	N <sub>H1</sub>	f <sub>H2</sub>	N <sub>H2</sub>	<b>q</b> N	P <sub>HDSL_dBm</sub> dBm	P <sub>floor_dBm</sub> dBm/Hz
D1	HDSL.2B1Q/1	1160	3	0.42×f <sub>x</sub>	3	N/A	N/A	1.4662	14	-133
D2	HDSL.2B1Q/2	584	3	$0.68 \times f_x$	4	N/A	N/A	1.1915	14	-133
A2.1	HDSL.2B1Q/2	584	3	$0.50 \times f_x$	3	N/A	N/A	1.3501	14	-133
A2.2	HDSL.2B1Q/2	584	3	0.68×f <sub>x</sub>	4	1.50×f <sub>x</sub>	2	1.1965	14	-133
D3	HDSL.2B1Q/3	392	3	$0.50 \times f_x$	3	N/A	N/A	1.3642	14	-133

Table 4: Parameter values for the HDSL.2B1Q templates, as defined in expression 3. The alternative values are based on higher order Butterworth filtering. Choose  $f_{H2}=Y$  and  $N_{H2}=1$  when not applicable (N/A).

# 4.7 Transmitter signal model for "HDSL.CAP"

The PSD templates for modelling signals generated by HDSL.CAP transmitters are different for single-pair and two-pair HDSL systems. The PSD templates for modelling the "HDSL.CAP/2" transmit spectra for two-pair systems are defined in terms of break frequencies, as summarised in table 5. These templates are taken from the nominal shape of the transmit signal spectra, as specified in the ETSI HDSL standard [4].

The associated values are constructed with straight lines between these break frequencies, when plotted against a <u>logarithmic</u> frequency scale and a <u>linear</u> dBm scale. The source impedance equals  $R_s$ =135 $\Omega$ .

HDSL.CAP/2	2-pair
	135 $\Omega$
[Hz]	[dBm/Hz]
1	<b>–</b> 57
3,98 k	<b>–</b> 57
21,5 k	-43
39,02 k	-40
237,58 k	-40
255,10 k	-43
272,62 k	-60
297,00 k	<b>–70</b>
1,188 M	-120
30 M	-120

Table 5. PSD template values at break frequencies for modelling "HDSL.CAP/2".

## 4.8 Transmitter signal model for "SDSL"

The PSD templates for modelling the spectra of "SDSL" transmitters are defined by the theoretical sinc-shape of PAM encoded signals, plus additional filtering and a noise floor. The transmit spectrum is defined in three distinct frequency bands, as summarised in expression 4 and the associated table 6. (NOTE: These models are applicable to SDSL 16-UC-PAM at rates up to 2,312 Mb/s.)

The break frequency  $f_{\text{int}}$  is the frequency where the curves for  $P_1(f)$  and  $P_2(f)$  intersect. This PSD template is taken from the nominal shape of the transmit signal spectrum, as specified in the ETSI SDSL standard [5]. The source impedance equals  $R_s$ =135 $\Omega$ .

$$f < f_{\text{int}}: \qquad P_1(f) = \frac{K_{sdsl}}{R_s \times f_X} \times \text{sinc}^2 \left(\frac{f}{f_X}\right) \times \frac{1}{1 + \left(\frac{f}{f_H}\right)^{2 \cdot N_H}} \times \frac{1}{1 + \left(\frac{f_L}{f_f}\right)^2} \qquad [W/Hz]$$

$$f_{\rm int} \le f \le 1,5 MHz: \qquad P_2(f) = K_x \times \left(\frac{f}{f_0}\right)^{-1,5}$$
 [W/Hz]

$$f > 1,5MHz$$
:  $P_3(f) = -110$   $[dBm/Hz]$ 

 $R_{\rm s} = 135 \,\Omega$ 

 $sinc(x) = sin(\pi \cdot x) / (\pi \cdot x)$ 

 $f_{\text{int}}$  = is the lowest frequency above  $f_H$  where the expressions for  $P_1(f)$  and  $P_2(f)$  intersect

Parameter values are defined in table 6

Expression 4. PSD template values for modelling both the symmetric and asymmetric modes of SDSL.

Mode	Data Rate R	TRA	Symbol Rate f <sub>sym</sub>	f <sub>X</sub>	f <sub>H</sub>	f∟	f <sub>0</sub>	N <sub>H</sub>	K <sub>SDSL</sub>	<i>K</i> <sub>X</sub>
	[kb/s]		[kbaud]			[kHz]	[Hz]		[V <sup>2</sup> ]	[W/Hz]
Sym	< 2048	both	(R+ 8 kbit/s)/3	f <sub>sym</sub>	f <sub>X</sub> /2	5	1	6	7.86	0.5683-10 <sup>-4</sup>
Sym	≥ 2048	both	(R+ 8 kbit/s)/3	$f_{sym}$	f <sub>X</sub> /2	5	1	6	9.90	0.5683-10 <sup>-4</sup>
Asym	2048	LTU	(R+ 8 kbit/s)/3	2×f <sub>sym</sub>	f <sub>x</sub> ×2/5	5	1	7	16.86	0.5683-10 <sup>-4</sup>
Asym	2048	NTU	(R+ 8 kbit/s)/3	f <sub>sym</sub>	f <sub>x</sub> ×1/2	5	1	7	15.66	0.5683-10 <sup>-4</sup>
Asym	2304	LTU	(R+ 8 kbit/s)/3	2×f <sub>sym</sub>	f <sub>x</sub> ×3/8	5	1	7	12.48	0.5683-10 <sup>-4</sup>
Asym	2304	NTU	(R+ 8 kbit/s)/3	$f_{\text{sym}}$	$f_x \times 1/2$	5	1	7	11.74	0.5683-10 <sup>-4</sup>

Table 6. Parameter values for the SDSL templates, as defined in expression 4.

#### Power back-off (both directions)

The SDSL transmitter signal model includes a mechanism to cutback the power for short loops, and will be activated when the "Estimated Power Loss" (EPL) of the loop is below a threshold loss  $PL_{thres}$ . This EPL is defined as the ratio between the total transmitted power (in W), and the total received power (in W). This loss is usually expressed in dB as  $EPL_{dB}$ .

This power back-off (*PBO*) is equal for all in-band transmit frequencies, and is specified in expression 5. It should be noted that this model is based on a smooth cutback mechanism, although practical SDSL modems may cut back their power in discrete steps ("staircase"). This expression is simplified for simulation purposes. The SDSL power back-off is described in [5], clause 9.2.6.

$$PBO_{dB} = \begin{cases} 0dB \\ \Delta_{PL} \\ 6dB \end{cases} \quad \begin{array}{l} (if \ \Delta_{PL} < 0) \\ (if \ 0 \leq \Delta_{PL} \leq 6dB) \\ (if \ \Delta_{PL} > 6dB) \end{array} \qquad where \ \Delta_{PL} = \left(PL_{thres,dB} - EPL_{dB}\right)$$

Expression 5: Power back-off of the transmitted signal (in both directions), as a function of the estimated power loss (*EPL*) and a threshold loss of *PL*<sub>thres,dB</sub>=6.5 dB, and represents some average of the "staircase".

# 4.9 Transmitter signal model for "EC ADSL over POTS"

The PSD template for modelling the "EC ADSL over POTS" [7,8] transmit spectrum (EC variant) is defined in terms of break frequencies, as summarised in table 7. The associated values are constructed with straight lines between these break frequencies, when plotted against a <u>logarithmic</u> frequency scale and a <u>linear</u> dBm scale. The frequency  $\Delta f$  in this table refers to the spacing of the DMT sub carriers of ADSL. The source impedance equals  $R_s$ =100 $\Omega$ .

NOTE These models do not apply to the associated ADSL2 variant [9]

EC ADSL over POTS	Up
DMT carriers	[7:31]
f [Hz]	P [dBm/Hz]
0	-101
3.99k	-101
4 k	-96
6.5 <b>x</b> ∆f (≈ 28.03)	-38
31.5 <b>x</b> ∆f (≈ 135.84)	-38
53.0×∆f (≈ 228.56)	-90
686 k	-100
1.411M	-100
1.630M	-110
5.275M	-112
30M	-112
$\Delta f = 4.3125$	kHz

EC ADSL over POTS DMT carriers	Down [7:255]
f [Hz]	P [dBm/Hz]
0	-101
3.99 k	-101
4 k	-96
6.5 <b>×</b> ∆f (≈ 28.03)	-40
$256 \times \Delta f (= 1104)$	-40
1250 kHz	-45
1500 kHz	<del>-7</del> 0
2100 kHz	-90
3.093M	-90
4.545M	-112
30M	-112
$\Delta f = 4.3125 \text{ kHz}$	

Table 7. PSD template values at break frequencies for modelling "EC ADSL over POTS".

ED. NOTE. The definition of the downstream slope near 1.1MHz (highlighted in red) is expected to be agreed during the ETSI-TM6 meeting of june 2005. These values are currently provisionally agreed

#### Power cut back (downstream only)

The transmitter signal model includes a mechanism to cut-back the power for short loops, and will be activated when the band-limited power  $P_{\text{rec}}$ , received within a specified frequency band at the other side of the loop, exceeds a threshold value  $P_{\text{thres}}$ . This frequency band is from  $6.5 \times \Delta f$  to  $18.5 \times \Delta f$ , where  $\Delta f = 4.3125$  kHz, and covers 12 consecutive sub carriers (7 through 18).

The cut back mechanism reduces the PSD template to a level *PSD*<sub>max</sub>, as specified in expression 6, for those frequencies where the downstream PSD template exceeds this level. For all other frequencies, the PSD template remains unchanged. Note that this model is based on a smooth cutback mechanism, although practical ADSL modems may cut back their power in discrete steps ("staircase").

$$PSD_{\max,dBm} = \begin{cases} -40dBm/Hz & (if \ \Delta_P < 0dB) \\ -40dBm/Hz - 2 \times \Delta_P \\ -52dBm/Hz & (if \ \Delta_P > 6dB) \end{cases}$$
 where  $\Delta_P = (P_{rec,dBm} - P_{thres,dBm})$ 

Expression 6: Maximum PSD values of the transmitted downstream signal, as a function of the band-limited received power  $P_{rec}$  and a threshold level of  $P_{thres,dBm} = 2.5$  dBm, and represents some average of the "staircase".

# 4.10 Transmitter signal model for "FDD ADSL over POTS"

The PSD template for modelling "FDD ADSL over POTS" [7,8] transmit spectra is defined in terms of break frequencies, as summarised in table 8 and 9.

- Table 8 is to be used for modelling "guard band FDD modems", usually equipped with steep filtering for improving the separation between upstream and downstream signals. 7 sub-carriers are left unused to enable this guard band to be implemented.
- Table 9 is to be used for modelling "adjacent FDD modems", usually enhanced by echo cancellation for improving the separation between upstream and downstream signals. Because a guard band is not needed here, only 1 sub-carrier is left unused.

The associated values are constructed with straight lines between these break frequencies, when plotted against a <u>logarithmic</u> frequency scale and a <u>linear</u> dBm scale. The frequency  $\Delta f$  in this table refers to the spacing of the DMT sub-carriers of ADSL. The source impedance equals  $R_s$ =100 $\Omega$ .

NOTE These models do not apply to the associated ADSL2 variant [9]

### **Guard band FDD (using filters)**

FDD ADSL over POTS	Up
DMT carriers	[7:30]
f [Hz]	P [dBm/Hz]
0	-101
3.99k	-101
4 k	-96
6.5 <b>x</b> ∆f (≈ 28.03)	-38
30.5 <b>×</b> ∆f (≈ 131.53)	-38
40.5 <b>×</b> ∆f (≈ 174.66)	-90
686 k	-100
1.411M	-100
1.630M	-110
5.275M	-112
30M	-112
$\Delta f = 4.3125$	kHz

FDD ADSL over POTS	Down
DMT carriers	[38:255]
f [Hz]	P [dBm/Hz]
0	-101
3.99 k	-101
4 k	-96
27.5 <b>x</b> ∆f (≈ 118.59)	-96
37.0×∆f (≈ 159.56)	-47.7
37.5 <b>×</b> ∆f (≈ 161.72)	-40
256×∆f (= 1104)	-40
1250 kHz	<b>–45</b>
1500 kHz	<del>-7</del> 0
2100 kHz	<b>-90</b>
3.093M	-90
4.545M	-112
30M	-112
$\Delta f = 4.3125 \text{ kHz}$	

Table 8. PSD template values at break frequencies for modelling "FDD ADSL over POTS", implemented as "guard band FDD" (with filtering). This PSD allocates 7 unused sub-carriers.

### Adjacent FDD (using echo cancellation)

FDD ADSL over POTS	Up
DMT carriers	[7:31]
f [Hz]	P [dBm/Hz]
0	-101
3.99k	-101
4 k	-96
6.5×∆f (≈ 28.03)	-38
31.5 <b>x</b> ∆f (≈ 135.84)	-38
41.5×∆f (≈ 178.97)	-90
686 k	-100
1.411M	-100
1.630M	-110
5.275M	-112
30M	-112
Λf = 4 3125 I	Н7

FDD ADSL over	Down
POTS	
DMT carriers	[33:255]
f [Hz]	P [dBm/Hz]
0	-101
3.99 k	-101
4 k	-96
22.5 <b>×</b> ∆f (≈ 97.03)	<del>-</del> 96
32.0 <b>x</b> ∆f (≈ 138.00)	<b>−</b> 47.7
32.5 <b>x</b> ∆f (≈ 140.16)	<del>-4</del> 0
256 <b>x</b> ∆f (= 1104)	<del>-4</del> 0
1250 kHz	<b>-45</b>
1500 kHz	<del>-7</del> 0
2100 kHz	<b>-90</b>
3.093M	-90
4.545M	-112
30M	-112
$\Delta f = 4.3125 \text{ kHz}$	

Table 9. PSD template values at break frequencies for modelling "FDD ADSL over POTS", implemented as "adjacent FDD" (with echo cancelling). This PSD allocates 1 unused sub carrier, since a guard band is not required here.

ED. NOTE. The definition of the downstream slope near 1.1MHz (highlighted in red) is expected to be agreed during the ETSI-TM6 meeting of june 2005. These values are currently provisionally agreed

### Power cut back (downstream only)

The transmitter signal model includes a mechanism to cut back the power for short loops, using the same mechanism as specified in expression 6, for modelling "EC ADSL over POTS" transmitters.

# 4.11 Transmitter signal model for "EC ADSL over ISDN"

The PSD template for modelling the "EC ADSL over ISDN" [7,8] transmit spectrum (EC variant) is defined in terms of break frequencies, as summarised in table 10. The associated values are constructed with straight lines between these break frequencies, when plotted against a <u>logarithmic</u> frequency scale and a <u>linear</u> dBm scale. The frequency  $\Delta f$  in this table refers to the spacing of the DMT sub-carriers of ADSL. The source impedance equals  $R_s$ =100 $\Omega$ .

NOTE These models do not apply to the associated ADSL2 variant [9]

EC ADSL over ISDN	Up
DMT carriers	[33:63]
f [Hz]	P [dBm/Hz]
0	-90
50	-90
22.5 <b>x</b> ∆f (≈ 97.03)	-85.3
32.5 <b>×</b> ∆f (≈ 140.16)	-38
63.5 <b>×</b> ∆f (≈ 273,84)	-38
67.5 <b>×</b> ∆f (≈ 291.09)	<b>-</b> 55
74.5 <b>x</b> ∆f (≈ 321.28)	-60
80.5 <b>×</b> ∆f (≈ 347.16)	-97.8
686k	-100
1.411M	-100
1.630M	-110
5.275M	-112
30M	-112
$\Delta f = 4.3125 \text{ J}$	·H <sub>7</sub>

EC ADSL over ISDN DMT carriers	Down [33:255]
f [Hz]	P [dBm/Hz]
0	-90
50 k	-90
22.5 <b>x</b> ∆f (≈ 97.03)	-85.3
32.5 <b>×</b> ∆f (≈ 140.16)	<del>-4</del> 0
256×∆f (= 1104)	<del>-4</del> 0
1250 kHz	<del>-4</del> 5
1500 kHz	<b>–70</b>
2100 kHz	<b>-90</b>
3.093M	-90
4.545M	-112
30M	-112
$\Delta f = 4.3125 \text{ kHz}$	

Table 10. PSD template values at break frequencies for modelling "EC ADSL over ISDN".

ED. NOTE. The definition of the downstream slope near 1.1MHz (highlighted in red) is expected to be agreed during the ETSI-TM6 meeting of june 2005. These values are currently provisionally agreed

#### Power cut back (downstream only)

The transmitter signal model includes a mechanism to cut-back the power for short loops, and will be activated when the band-limited power  $P_{\text{rec}}$ , received within a specified frequency band at the other side of the loop, exceeds a threshold value  $P_{\text{thres}}$ . This frequency band is from  $35.5 \times \Delta f$  to  $47.5 \times \Delta f$ , where  $\Delta f = 4.3125$  kHz, and covers 12 consecutive sub carriers (36 through 47).

The cut back mechanism reduces the PSD template to a level  $PSD_{max}$ , as specified in expression 7, for those frequencies where the downstream PSD template exceeds this level. For all other frequencies, the PSD template remains unchanged. Note that this model is based on a smooth cutback mechanism, although practical ADSL modems may cut back their power in discrete steps ("staircase").

$$PSD_{\max,dBm} = \begin{cases} -40dBm/Hz & (if \ \Delta_P < 0dB) \\ -40dBm/Hz - \frac{4}{3} \times \Delta_P \\ -52dBm/Hz & (if \ \Delta_P > 9dB) \end{cases}$$
 where  $\Delta_P = (P_{rec,dBm} - P_{thres,dBm})$ 

Expression 7: Maximum PSD values of the transmitted downstream signal, as a function of the band-limited received power  $P_{rec}$  and a threshold level of  $P_{thres,dBm} = -0.75$  dBm, and represents some average of the "staircase".

# 4.12 Transmitter signal model for "FDD ADSL over ISDN"

The PSD template for modelling "FDD ADSL over ISDN" [7,8] transmit spectra is defined in terms of break frequencies, as summarised in table 11 and 12.

- Table 11 is to be used for modelling "guard band FDD modems", usually enhanced by steep filtering for improving the separation between upstream and downstream signals. 7 sub-carriers are left unused to enable this guard band to be implemented.
- Table 12 is to be used for modelling "adjacent FDD modems", usually enhanced by echo cancellation for improving the separation between upstream and downstream signals. Because a guard band is not needed here, no sub-carrier is left unused.

The associated values are constructed with straight lines between these break frequencies, when plotted against a <u>logarithmic</u> frequency scale and a <u>linear</u> dBm scale. The frequency  $\Delta f$  in this table refers to the spacing of the DMT sub-carriers of ADSL. The source impedance equals  $R_s$ =100 $\Omega$ .

NOTE These models do not apply to the associated ADSL2 variant [9]

#### **Guard band FDD (using filters)**

FDD ADSL over ISDN	Up
DMT carriers	[33:56]
f [Hz]	P [dBm/Hz]
0	-90
50	-90
22.5 <b>×</b> ∆f (≈ 97.03)	-85.3
32.5 <b>x</b> ∆f (≈ 140.16)	-38
56.5×∆f (≈ 243.66)	-38
60.5 <b>×</b> ∆f (≈ 260.91)	<b>–</b> 55
67.5 <b>×</b> ∆f (≈ 291.09)	-60
73.5×∆f (≈ 316.97)	-97.8
686k	-100
1.411M	-100
1.630M	<del>-</del> 110
5.275M	-112
30M	-112
$\Delta f = 4.3125 \text{ k}$	kHz

FDD ADSL over ISDN DMT carriers	Down [64:255]
f [Hz]	P [dBm/Hz]
0	-90
53.5×∆f (≈ 230.72)	<b>-</b> 90
63.0×∆f (≈ 271.79)	<b>-</b> 52
63.5×∆f (≈ 273.84)	-40
256 <b>×</b> ∆f (= 1104)	-40
1250 kHz	<del>-4</del> 5
1500 kHz	<del>-7</del> 0
2100 kHz	<b>-90</b>
3.093M	<b>-90</b>
4.545M	-112
30M	-112
$\Delta f = 4.3125 \text{ kHz}$	

Table 11. PSD template values at break frequencies for modelling "FDD ADSL over ISDN", implemented as "guard band FDD" (with filtering). This PSD allocates 7 unused sub-carriers.

adjacent FDD (1	using echo	cancellation)
-----------------	------------	---------------

FDD ADSL over ISDN DMT carriers	Up [33:63]
f [Hz]	P [dBm/Hz]
0	-90
50	-90
22.5 <b>×</b> ∆f (≈ 97.03)	-85.3
32.5 <b>x</b> ∆f (≈ 140.16)	-38
63.5 <b>×</b> ∆f (≈ 273.84)	-38
67.5 <b>x</b> ∆f (≈ 291.09)	<b>–</b> 55
74.5×∆f (≈ 321.28)	-60
80.5 <b>x</b> ∆f (≈ 347.16)	-97.8
686k	-100
1.411M	-100
1.630M	<b>–110</b>
5.275M	-112
30M	-112
$\Delta f = 4.3125 \text{ k}$	H7

FDD ADSL over ISDN	Down
DMT carriers	[64:255]
f [Hz]	P [dBm/Hz]
0	-90
53.5 <b>×</b> ∆f (≈ 230.72)	<del>-</del> 90
63.0×∆f (≈ 271.79)	<i>–</i> 52
63.5×∆f (≈ 273.84)	<del>-4</del> 0
256×∆f (= 1104)	<del>-4</del> 0
1250 kHz	<del>-4</del> 5
1500 kHz	<del>-7</del> 0
2100 kHz	<del>-</del> 90
3.093M	<b>-90</b>
4.545M	-112
30M	-112
$\Delta f = 4.3125 \text{ kHz}$	

Table 12. PSD template values at break frequencies for modelling "FDD ADSL over ISDN", implemented as "adjacent FDD" (with echo cancelling). This PSD has no guard band.

ED. NOTE. The definition of the downstream slope near 1.1MHz (highlighted in red) is expected to be agreed during the ETSI-TM6 meeting of june 2005. These values are currently provisionally agreed

#### Power cut back (downstream only)

The transmitter signal model includes a mechanism to cut back the power for short loops, using the same mechanism as specified in expression 7, for modelling "EC ADSL over ISDN" transmitters.

# 4.13 Transmitter signal model for "ADSL2/J" (All Digital Mode, FDD, annex J)

The PSD template for modeling the "ADSL2/J" transmit spectrum is defined in terms of break frequencies, as summarized in table 13. The associated values are constructed with straight lines between these break frequencies, when plotted against a *logarithmic* frequency scale and a *linear* dBm scale. The frequency  $\Delta f$  in this table refers to the sub-carrier spacing of the DMT tones of ADSL. The source impedance equals  $100\Omega$ .

ADSL2/J	Up
DMT carriers	[1:k]
f [Hz]	P [dBm/Hz]
0	-50
1.5 k	-50
3 k	$PSD_1$
$f_1 = \mathbf{k} \times \Delta \mathbf{f}$	$PSD_1$
$f_2$	$PSD_2$
<i>f</i> <sub>3</sub>	$PSD_3$
$f_4$	-97.8
686 k	-100
1.411M	-100
1.630M	-110
5.275M	-112
30M	-112
$\Delta f = 4.3125 \text{ k}$	Hz

ADSL2/J DMT carriers	Down [64:255]			
f [Hz]	P [dBm/Hz]			
0	-90			
53.5×∆f (≈ 230.72k)	-90			
63.0×∆f (≈ 271.79k)	-52			
63.5×∆f (≈ 273.84k)	-40			
256.0×∆f (= 1104.00k)	-40			
1250 k	-45			
1500 k	<b>-7</b> 0			
2100 k	-90			
3.093M	-90			
4.545M	-112			
30M	-112			
$\Delta f = 4.3125 \text{ kHz}$				

Table 13. PSD template values at break frequencies for modeling "ADSL2/J". The values for  $f_1...f_4$  and  $PSD_1...PSD_3$  are specified in table 14.

US mask	Tone	$f_1$	$f_2$	$f_3$	$f_4$	PSD₁	$PSD_2$	PSD₃
number	range	[kHz]	[kHz]	[kHz]	[kHz]	[dBm/Hz]	[dBm/Hz]	[dBm/Hz]
(M)	[1k]							
1	132	32×∆f (≈140.16)	153.38	157.50	192.45	-38.0	-55.0	-60.0
2	136	36×∆f (≈157.41)	171.39	176.46	208.13	-38.5	-55.5	-60.5
3	140	40×∆f (≈174.66)	189.31	195.55	224.87	-39.0	-56.0	-61.0
4	144	44×∆f (≈191.91)	207.16	214.87	242.51	-39.4	-56.4	-61.4
5	148	48×∆f (≈209.16)	224.96	234.56	260.90	-39.8	-56.8	-61.8
6	152	52×∆f (≈226.41)	242.70	254.84	280.25	-40.1	-57.1	-62.1
7	156	56×∆f (≈243.66)	260.40	276.14	300.85	-40.4	-57.4	-62.4
8	160	60×∆f (≈260.91)	278.05	299.30	323.55	-40.7	-57.7	-62.7
9	163	63×∆f (≈273.84)	291.09	321.28	345.04	-41.0	-58.0	-63.0

Table 14. Parameter values for parameters used in table 13.

## Power back-off

NOTE The specification of power back-off is left for further study

# 4.14 Transmitter signal model for "ADSL2/M" (over POTS, FDD, annex M)

The PSD template for modeling the "ADSL2/M" transmit spectrum is defined in terms of break frequencies, as summarized in table 15 and 16. The associated values are constructed with straight lines between these break frequencies, when plotted against a *logarithmic* frequency scale and a *linear* dBm scale. The frequency  $\Delta f$  in this table refers to the sub-carrier spacing of the DMT tones of ADSL. The source impedance equals  $100\Omega$ .

ADSL2/M	Up
DMT carriers	[7:k]
f [Hz]	P [dBm/Hz]
0	-101
3.99k	-101
4 k	-96
6.5×∆f (≈ 28.03k)	$PSD_1$
$f_1 = \mathbf{k} \mathbf{x} \Delta \mathbf{f}$	$PSD_1$
$f_2$	$PSD_2$
$f_3$	$PSD_3$
$f_4$	-97.8
686 k	-100
1.411M	-100
1.630M	-110
5.275M	-112
30M	-112
$\Delta f = 4.3125 \text{ k}$	Hz

ADSL2/M DMT carriers	Down [64:255]
f [Hz]	P [dBm/Hz]
0	-90
53.5×∆f (≈ 230.72k)	-90
63.0×∆f (≈ 271.79k)	-52
63.5×∆f (≈ 273.84k)	-40
256.0×∆f (= 1104.00k)	-40
1250 k	-45
1500 k	-70
2100 k	-90
3.093M	-90
4.545M	-112
30M	-112
$\Delta f = 4.3125$	kHz

Table 15. PSD template values at break frequencies for modeling "ADSL2/M" . The values for  $f_1...f_4$  and  $PSD_1...PSD_3$  are specified in table 16.

US mask	Tone	$f_1$	$f_2$	$f_3$	$f_4$	PSD₁	$PSD_2$	PSD <sub>3</sub>
number	range	[kHz]	[kHz]	[kHz]	[kHz]	[dBm/Hz]	[dBm/Hz]	[dBm/Hz]
(M)	[7k]							
1	732	32×∆f (≈140.16)	153.38	157.50	192.45	-38.0	-55.0	-60.0
2	736	36×∆f (≈157.41)	171.39	176.46	208.13	-38.5	-55.5	-60.5
3	740	40×∆f (≈174.66)	189.31	195.55	224.87	-39.0	-56.0	-61.0
4	744	44×∆f (≈191.91)	207.16	214.87	242.51	-39.4	-56.4	-61.4
5	748	48×∆f (≈209.16)	224.96	234.56	260.90	-39.8	-56.8	-61.8
6	752	52×∆f (≈226.41)	242.70	254.84	280.25	-40.1	-57.1	-62.1
7	756	56×∆f (≈243.66)	260.40	276.14	300.85	-40.4	-57.4	-62.4
8	760	60×∆f (≈260.91)	278.05	299.30	323.55	-40.7	-57.7	-62.7
9	763	63×∆f (≈273.84)	291.09	321.28	345.04	-41.0	-58.0	-63.0

Table 16. Parameter values for parameters used in table 15.

#### Power back-off

NOTE The specification of power back-off is left for further study

## 4.15 Transmitter signal model for "VDSL"

<for further study>

# 5 Generic receiver performance models for xDSL

A receiver performance model is capable of estimating up to what performance a data stream can be recovered from a noisy signal. In all cases it assumes that this recovery meets predefined quality criteria such as a maximum error better then  $BER<10^{-7}$  (Bit Error Ratio).

The word *performance* refers within this context to a variety of quantities, including noise margin, signal margin and maximum data rate. When the receiver is ideal (zero internal receiver noise, infinite echo cancellation, etc), the noise margin and signal margin become equal.

Performance models are implementation and line code specific. Performance modelling becomes more convenient when broken down into a combination of smaller sub models (see figure 1):

- A line code independent *input* (sub)model that evaluates the effective SNR from received signal, received noise, and various receiver imperfections. Details are described in clause 5.1.
- A line code dependent *detection* (sub)model that evaluates the performance (e.g. the noise margin at a specified bit rate) from the effective SNR. Details are described in clause 5.2.
- An (optional) *echo-coupling* (sub)model that evaluates what portion of the transmitted signal flows into the receiver. Details are described in clause 5.3.

The flow diagram in figure 1 represents an xDSL transceiver that is connected via a common wire pair to another transceiver (not shown). This wire pair transports the transmitted signal, received signal and received noise simultaneously.

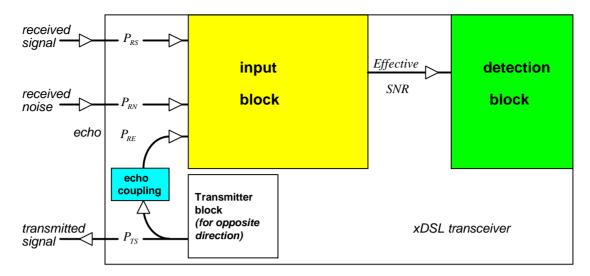


Figure 1: Flow diagram of a transceiver model, build up from individual sub models.

The <u>input block</u> of the flow diagram in figure 1 requires values for *signal*, *noise* and *echo*. The flow diagram illustrates this for an xDSL transceiver that is connected via a common wire pair to another transceiver (not shown), which transports the following three flows simultaneously:

- The received  $\underline{signal}$  power  $P_{RS}$  carries the data that is to be recovered. This signal originates from the transmitter at the other side of the wire pair, and its level is attenuated by cable loss.
- The received <u>noise</u> power  $P_{RN}$  is all that is received when the transmitters at both sides of the link under study are silent. The origin of this noise is mainly cross talk from internal disturbers connected to the same cable (cross talk noise), and partly from external disturbers (ingress noise).
- The received  $\underline{echo}$  power  $P_{RE}$  is all that is received when the transmitter at the other end of the wire pair is silent, as well as all internal and external disturbers. It is a residue that will be received when a transmitter and a receiver are combined into a transceiver, and co-connected via a hybrid to the same wire pairs. No hybrid is perfect, so a portion ( $P_{RE}$ ) of the transmitted signal ( $P_{TS}$ ) will leak into the receiver and is identified as echo. Usually most of this is due to mismatch between the termination impedance, presented by the transceiver and the near end of the wire pair. Gauge changes along the wire pair also contribute echo.
- When the hybrid of that transceiver is unbalanced due to mismatched termination impedances (of the cable), then a portion ( $P_{RE}$ ) of the transmitted signal ( $P_{TS}$ ) will leak into the receiver and is identified as echo.

The input block in figure 1 evaluate a quantity called *effective SNR* (Signal to noise Ratio) that indicates to what degree the received signal is deteriorated by noise, residual echo and all kinds of implementation imperfections. Due to signal processing in the receiver, the *input SNR* (the ratio between signal power, and the power-sum of noise and echo) will change into the *effective SNR* at some virtual internal point at the receiver. The effective SNR can be better or worse then the input SNR. Receivers with build-in echo cancellation can take advantage of a-priori knowledge on the echo, and can suppress most of this echo to improve the effective SNR. On the other hand, all analogue receiver electronics produce shot noise and thermal noise, the A/D-converter produces quantization noise, and the equalization has its limitations as well. The combination of all these individual imperfections deteriorates the effective SNR.

In principle all parameters of the effective SNR can be assumed as frequency dependent, but this dependency has been omitted here for reasons of simplicity. In addition, external change of signal and noise levels will modify the value of this effective SNR.

The <u>detection block</u> of the flow diagram in figure 1 requires this effective SNR to evaluate from that the performance as *margin* (such as noise margin, or signal margin). For many detection models, this margin is not provided by a closed expression, but by an equation from which this margin is to be solved. A simulation program may follow an iterative approach to solve this: controlling this margin in the input block so that the effective SNR changes and the equation in the detection block can be met.

In principle, the detection block is dedicated to line-code specific imperfections only, but may also include receiver imperfections that are not covered by the input block.

The <u>echo-coupling block</u> is optional, in case the input block does not deal with the related imperfections. Simple (first order) models for the input block cannot distinguish between receiver imperfection originated from echo and from other causes. When these simplified models are used, the echo-coupling block will not be required in the receiver performance model.

This section 5 details (sub)models for the afore mentioned blocks in a receiver performance model, but is restricted to *generic* performance models only. Section 6 is dedicated to implementation *specific* models by additionally assigning values to all parameters of a generic model.

## 5.1 Generic input models for effective SNR

An input (sub) model describes how to evaluate the effective SNR, as intermediate result (see figure 1), from various input quantities and imperfections. To simplify further analysis of performance quantities like *noise margin* and *signal margin*, the effective SNR is often expressed in its <u>offset format</u>, characterized by an additional parameter *m*. The associated expression is defined for each model individually.

By using this parameter m the external noise level can be increased (for noise margin calculations) or the external signal level can be decreased (for signal margin calculations). The convention is that when m=1 (equals zero dB) the effective offset SNR equals the effective SNR itself. When the value of parameter m increases, the effective offset SNR decreases.

## 5.1.1 First order input model

This input model is a simplified model that assumes that the SNR of the input signal is internally modified by internal receiver noise ( $P_{RN0}$ ). Most imperfections of the receiver (such as front-end noise, imperfect echo suppression, imperfect equalization and quantization noise) are assumed to be concentrated in a single virtual internal noise source ( $P_{RN0}$ ). Figure 2 shows the flow diagram of an xDSL transceiver model showing the elements of a first order input model for effective SNR evaluation, and how to incorporate it in the receiver performance model.

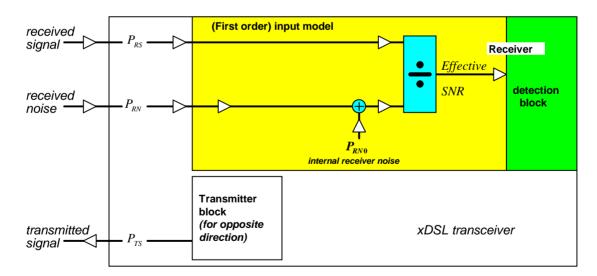


Figure 2: Flow diagram of a transceiver model that incorporates a linear first order input model for the determination of the effective SNR.

Expression 8 summarises how to evaluate the effective SNR for this model, in plain and in offset format. Table 17 summarises the associated parameters.

Plain format: 
$$SNR(f) = \frac{P_{RS}(f)}{P_{RN}(f) + P_{RN0}(f)}$$
 Noise offset format: 
$$SNR_{\text{ofs,N}}(m, f) = \frac{P_{RS}(f)}{\left(P_{RN}(f) \times m\right) + P_{RN0}(f)}$$
 Signal offset format: 
$$SNR_{\text{ofs,S}}(m, f) = \frac{P_{RS}(f)/m}{P_{RN}(f) + P_{RN0}(f)}$$

Expression 8: Effective SNR, in various formats, when using the first order input model

Input quantities	linear	in dBm or dB	remarks
Received signal power	$P_{RS}$	$10 \times \log_{10}(P_{RS}/P_0)$	External signal
Received noise power	$P_{RN}$	$10 \times \log_{10}(P_{RN}/P_0)$	External noise
(cross talk)			
Model Parameters			
Internal receiver noise	$P_{RN0}$	$10 \times \log_{10}(P_{RN0}/P_0)$	Internal noise
power			
Output quantities			
Signal to noise ratio	SNR	10×log <sub>10</sub> (SNR)	Frequency dependent
(effective)			

Table 17: Associated parameters and quantities for a first order input model. All PSD levels may be frequency dependent. Constant  $P_0$ =1mW.

## 5.2 Generic detection models

This clause identifies several generic (sub) models for the detection block: one line code independent model derived from the Shannon capacity limit, and various line code dependent models dedicated to PAM, CAP/QAM or DMT line coding. Table 18 summarises the naming convention for input and output quantities.

Input quantities	linear	in dB or dBm	remarks
Signal to Noise Ratio	SNR	10×log <sub>10</sub> ( <i>SNR</i> )	Ratio of powers
			(frequency dependent)
Output quantities			
Noise margin	<i>m</i> <sub>n</sub>	$10 \times \log_{10}(m_n)$	Ratio of noise powers
Signal margin	m <sub>s</sub>	$10 \times \log_{10}(m_s)$	Ratio of signal powers

Table 18. Symbols used for input and output quantities of detection models.

<u>On input</u>, the detection block requires an effective SNR, as provided by the input block. This SNR is a function of the frequency *f*. When the offset format is used for describing the SNR, it will also be a function of the offset parameter *m*. This offset format is specified individually for each model in clause 5.1.

<u>On output</u>, the detection block evaluates a signal margin  $m_n$  (or a noise margin  $m_s$  when more appropriate). This margin parameter is an important measure for the transport quality that is achieved under noisy conditions.

- The *Noise Margin*  $m_n$  indicates how much the received noise power can increase before the transmission becomes unreliable.
- The  $Signal\ Margin\ m_s$  indicates how much the received signal power can decrease before the transmission becomes unreliable.

Unless explicitly specified otherwise, the word margin refers in this document to noise margin.

NOTE From an xDSL deployment point of view, the analysis of noise margin is preferred over signal margin, since the (cross talk) noise is the quantity that may increase when more systems are connected to the same cable. Many xDSL implementations, however, do report margin numbers that are not exactly equal to this noise margin, since the detection circuitry cannot make a distinction between external noise (due to cross talk) and internal noise (due to imperfect electronics). These margins are often an estimate closer in value to the signal margin than to the noise margin.

## 5.2.1 Generic Shifted Shannon detection model

The calculation of the margin m using the generic Shifted Shannon detection model, is equivalent to solving the equation in expression 9. It has been derived from Shannon's capacity theorem, by reducing the effective SNR ("shifting" on a dB scale) by the SNR-gap G, to account for the imperfections of practical detectors. The associated parameters are summarised in table 19.

The effective SNR is to be evaluated by using one of the input models described in clause 5.1. Depending on what offset format is used for the SNR expression (see clause 5.1), the calculated margin m will represent the noise margin  $m_0$  or the signal margin  $m_0$ .

$$f_b = \int_{f_c - B/2}^{f_c + B/2} \log_2 \left( 1 + \frac{SNR_{ofs}(m, f)}{\Gamma} \right) \cdot df$$

Expression 9: Equation of the Shifted Shannon detection model, for solving the margin *m*.

Model Parameters	linear	in dB	remarks
SNR gap	G	10×log <sub>10</sub> (G)	
Data rate	f <sub>d</sub>		all payload bits that are transported in 1 sec
Line rate	f <sub>b</sub>		= data rate + overhead bit rate
Centre frequency	f <sub>c</sub>		Centre value of the most relevant spectrum
Bandwidth	В		Width of most relevant spectrum
Margin	m	10×log <sub>10</sub> (m)	

Table 19. Parameters used for Shifted Shannon detection models.

The various parameters used within this generic detection model are summarised in table 19. The model can be made specific by assigning values to all these model parameters.

- The SNR-gap (*G*) is a performance parameter that indicates how close the detection approaches the Shannon capacity limit.
- The line rate is usually higher than the data rate (0 to 30%) to transport overhead bits for error correction, signalling and framing.
- The bandwidth is a parameter that indicates what frequency range of the received spectrum is relevant for data transport. The model assumes that only frequencies within this range can pass the receive filters.

## 5.2.2 Generic PAM detection model

The calculation of the margin m using the generic PAM detection model is equivalent to solving the equation in expression 10. This model assumes ideal decision feedback equalizer (DFE) margin calculations. The associated parameters are summarised in table 20.

The effective SNR is to be evaluated by using one of the input models described in clause 5.1. Depending on what offset format is used for the SNR expression (see clause 5.1), the calculated margin m will represent the noise margin  $m_0$  or the signal margin  $m_s$ .

$$\left| SNR_{req} = \Gamma \times \left( 2^{2 \cdot b} - 1 \right) = \exp \left( \frac{1}{f_s} \times \int_0^{f_s} \ln \left( 1 + \sum_{n=N_L}^{N_H} SNR_{ofs} \left( m, f + nf_s \right) \right) \cdot df \right) \right|$$

## Expression 10: Equation of the PAM-detection model, for solving the margin m.

The SNR gap G, being used in the above expression 10, is a combination of various effects. This G parameter is often split-up into the following three parts:

- A theoretical modulation gap  $G_{PAM}$  (in the order of 9.75 dB, at BER=10<sup>-7</sup>)
- A theoretical coding gain  $G_{\text{coding}}$  (usually in the order of 3 to 5 dB), to indicate how much additional improvement is achieved by the chosen coding mechanism.
- An empirical implementation loss  $G_{impl}$  (usually a few dB as well), indicating how much overall performance degradation is caused by implementation dependent imperfections (e.g. echo cancellation, analogue front end realization, equalization, etc), without identifying its true cause.

When G is split-up into the above three parts, its value shall be evaluated as follows:

SNR gap (linear): 
$$G = G_{PAM}$$
 /  $G_{coding}$   $\times$   $G_{impl}$  SNR gap (in dB):  $G_{\_dB} = G_{PAM\_dB}$  -  $G_{coding\_dB}$  +  $G_{impl\_dB}$ 

SNR gap (in dB): 
$$G_{dB} = G_{PAM \ dB} - G_{coding \ dB} + G_{impl \ dB}$$

The margin value, which can be either noise margin or signal margin, is not included in the SNR gap as it is contained in the offset SNR expression as described in clause 5.1.

Model Parameters	linear	in dB	remarks
SNR gap (effective)	G	10×log <sub>10</sub> ( <i>G</i> )	$= SNR_{req} / (2^{2 \cdot b} - 1)$
SNR gap in parts:	$G_{PAM}$	$10 \times \log_{10}(G_{PAM})$	Modulation gap for PAM
	$G_{ m coding}$	$10 \times \log_{10}(G_{\text{coding}})$	Coding gain
	$G_{impl}$	$10 \times \log_{10}(G_{\text{impl}})$	Implementation loss
Required SNR	SNR <sub>req</sub>	10×log <sub>10</sub> (SNR <sub>req</sub> )	$= G \times (2^{2-b} - 1)$
Data rate	$f_{d}$		all payload bits that are transported
			in 1 sec
Line rate	f <sub>b</sub>		= data rate + overhead bit rate
Symbol rate	fs		$= f_{\rm b} / b$
Bits per symbol	b		$= f_b / f_s$ (can be non-integer)
Summation range	$N_L$ , $N_H$		On default: N <sub>L</sub> =-2 and N <sub>H</sub> =+1
Margin	m	10×log10(m)	

Table 20. Parameters used for PAM detection models.

The various parameters in table 20 used within this generic detection model have the following meaning:

- The SNR-gap (G) and required SNR ( $SNR_{req}$ ) are equivalent parameters and can be converted from one to the other. The advantage of using G over  $SNR_{req}$  is that G can be defined with similar meaning for all theoretical models in the frequency domain (Shifted Shannon, CAP, PAM, and DMT). The advantage of using SNR<sub>req</sub> over G is that this quantity is closer related to the SNR observed at the decision point of the detection circuitry.
- The line rate is usually higher then the data rate (0 to 30%) to transport overhead bits for error correction, signalling and framing. The symbol rate is the line rate divided by the number of bits packed together in a single symbol.
- The summation range for n is from  $N_{\rm L}$  to  $N_{\rm H}$ , and this range has to be defined to make this generic model specific. Commonly used values for PAM, using over sampling, are  $N_L = -2$  and  $N_H = +1$ . This corresponds to T/3-spaced equalization. Wider ranges are not excluded.

## 5.2.3 Generic CAP/QAM detection model

The calculation of the margin *m* using the generic CAP/QAM detection model is equivalent to solving the equation in expression 11. This model assumes ideal decision feedback equalizer (DFE) margin calculations. The associated parameters are summarised in table 21.

The effective SNR is to be evaluated by using one of the input models described in clause 5.1. Depending on what offset format is used for the SNR expression (see clause 5.1), the calculated margin m will represent the noise margin  $m_0$  or the signal margin  $m_s$ .

$$SNR_{req} \equiv \Gamma \times (2^{b} - 1) = \exp\left(\frac{1}{f_s} \times \int_{0}^{f_s} \ln\left(1 + \sum_{n=N_L}^{N_H} SNR_{ofs}(m, f + nf_s)\right) \cdot df\right)$$

Expression 11: Equation of the CAP/QAM-detection model, for solving the margin m.

The (effective) SNR gap G, being used in the above expression 11, is a combination of various effects. This has been explained in more detail for the PAM detection model. The theoretical modulation gap, identified as  $G_{CAP}$  for the CAP/QAM detection model, is in the order of 9.8 dB for BER= $10^{-7}$ .

The margin value, which can be either noise margin or signal margin, is not included in the SNR gap as it is contained in the offset SNR expression as described in clause 5.1.

Model Parameters	linear	in dB	remarks
SNR gap (effective)	G	10×log <sub>10</sub> ( <i>G</i> )	$= SNR_{req} / (2^{D}-1)$
SNR gap in parts:	$G_{CAP}$	$10 \times \log_{10}(G_{PAM})$	Modulation gap for CAP/QAM
	$G_{ m coding}$	$10 \times \log_{10}(G_{\text{coding}})$	Coding gain
	$G_{impl}$	$10 \times \log_{10}(G_{\text{impl}})$	Implementation loss
Required SNR	SNR <sub>req</sub>	10×log <sub>10</sub> (SNR <sub>req</sub> )	$= G \times (2^{-b} - 1)$
Data rate	f <sub>d</sub>		all payload bits that are transported
			in 1 sec
Line rate	f <sub>b</sub>		= data rate + overhead bit rate
Symbol rate	f <sub>s</sub>		$= f_b / b$
Bits per symbol	b		$= f_b / f_s$ (can be non-integer)
Summation range	$N_L$ , $N_H$		On default: $N_L=0$ and $N_H=+3$
Margin	m	10×log10(m)	

Table 21. Parameters used for CAP/QAM detection models.

The various parameters in table 21 used within this generic detection model have the following meaning:

- The SNR-gap (*G*) and required SNR (*SNR*<sub>req</sub>) are equivalent parameters and can be converted from one to the other. The advantage of using *G* over *SNR*<sub>req</sub> is that *G* can be defined with similar meaning for all theoretical models in the frequency domain (Shannon, CAP, PAM, and DMT). The advantage of using *SNR*<sub>req</sub> over *G* is that this quantity is closer related to the SNR observed at the decision point of the detection circuitry.
- The line rate is usually higher then the data rate (0 to 30%), to transport overhead bits for error correction, signalling and framing. The symbol rate is the line rate divided by the number of bits packed together in a single symbol.
- The summation range for *n* is from *N*<sub>L</sub> to *N*<sub>H</sub>, Commonly used values for CAP/QAM systems using over sampling are *N*<sub>L</sub>=0 and *N*<sub>H</sub>=+3. This holds when the carrier frequency positions the spectrum low in the frequency band (e.g. CAP-based HDSL). Other values may be more appropriated when the carrier frequency moves the spectrum to higher frequencies (e.g. CAP based VDSL).

## 5.2.4 Generic DMT detection model

The calculation of the margin m using the generic DMT detection model is equivalent to solving the equations in expression 12, for a given line rate  $f_b$  (or given data line rate  $f_{bd}$ ). The associated parameters are summarised in table 22, and function load is specified by the chosen bit-loading algorithm. The effective SNR is to be evaluated by using one of the input models described in clause 5.1. Depending on what offset format  $SNR_{ofs}(m, f)$  is used to express this effective SNR for margins other then m=1 (equals zero dB), the solved margin m will result in the noise margin  $m_n$  or the signal margin  $m_s$ .

$$b_{k} = \log_{2} \left( 1 + \frac{SNR_{ofs}(m, f_{k})}{\Gamma} \right) \qquad [bit / tone / symbol]$$

$$f_{bd} = f_{sd} \times b = f_{sd} \times \sum_{k \in tones} load(b_{k}) \qquad [bit / s]$$

$$f_{b} = f_{bd} + f_{bs} \qquad [bit / s]$$

Expression 12: Equations of the DMT-detection model, for solving the margin m for a given data line rate  $f_{\rm bd}$ , and a given data symbol rate  $f_{\rm sd}$ . The rate  $f_{\rm sd}$  excludes all DMT symbols dedicated to synchronisation.

NOTE: The words "tone" refers to "sub-carrier" but is preferred here to express dimensions like [bits/tone]

#### Bit-loading algorithm

The DMT sub-carriers are all positioned (centred) at a multiple of the sub-carrier frequency spacing  $\Delta f$ , and each sub-carrier theoretically may carry any fragment of a symbol, while a symbol can carry many bits (typically a few hundred or more). The way this bit space (bits per tone per symbol) is used to load each sub-carrier with bits is implementation dependent.

Bit-loading algorithms commonly use masking. Masking means skipping carriers for loading when their bit space  $b_k$  is below some predefined minimum value  $b_{\min}$ , and limiting the bit-loading to some pre-defined maximum when the bit space  $b_k$  exceeds some predefined maximum  $b_{\max}$ . This masking process is summarised in expression 13.

$b_{\rm k} < b_{\rm min}$	$\Rightarrow$	$load(b_k) \equiv 0$
$b_{\min} \leq b_{k} \leq b_{\max}$	$\Rightarrow$	$load(b_k) \equiv b_k$
$b_{\rm k} > b_{\rm max}$	$\Rightarrow$	$load(b_k) \equiv b_{max}$

Expression 13: The bit loading used in (fractional) bit-loading algorithms.

When the data transport is operating on its limits (margin m=1, or zero dB), the following bit-loading algorithms may apply, in addition to masking:

- Fractional bit-loading (FBL), sometimes referred to as water-filling is a pure theoretical approach enabling loading of any real number of bits per symbol in any sub-carrier k (including non-integer fractions). This maximizes the use of the available capacity, but is impractical to implement.
- Truncated bit-loading (TBL) is a more feasible algorithm in practice, and loads on each sub-carrier k a number of bits equal to the largest non-negative integer below the bit space  $b_k$ .
- Rounded bit-loading (RBL) is also feasible in practice, and loads each sub-carrier k with a number of bits equal to the nearest non-negative integer of bit space  $b_k$ .
- Gain adjusted bit-loading (GABL) is a sophisticated combination of rounded bit-loading and adjustment of powers to each of the sub-carriers, so that each individual bit space  $b_k$  approaches a rounded value (minimizes the loss of capacity), while the total transmit power is kept unchanged on average.

In various applications, it may be assumed that the capacity of well-designed *gain adjusted* bit-loading algorithms closely match those achieved by *fractional* bit-loading algorithm. For the sake of simplicity, and for making capacity calculations in this document less implementation dependent, the fractional bit-loading algorithm with constraint number of bits per sub-carrier and symbol, as in expression 13, is used as default for DMT calculations in this document, unless specified explicitly otherwise.

NOTE When calculating the bit-loading, the used total power needs to be reduced by the amount of power spent on the cyclic extension. Text for detailed guidance to this note is currently under study.

## SNR-Gap

The (effective) SNR gap G, being used in expression 12, is a combination of various effects. This has been explained in more detail for the PAM detection model. The theoretical modulation gap, identified as  $G_{\rm DMT}$  for the DMT detection model, is in the order of 9.75 dB for BER=10<sup>-7</sup>.

The margin value, which can be either noise margin or signal margin, is not included in the SNR gap as it is contained in the offset SNR expression as described in clause 5.1.

#### Associated parameters

Input quantities	linear	in dB	remarks
Signal to Noise Ratio	SNR	10×log <sub>10</sub> (SNR)	Frequency dependent ratio of powers
(effective value)			
Model Parameters	linear	in dB	remarks
SNR gap (effective)	G	10×log₁₀( <i>G</i> )	$= SNR_{req} / (2^{2 \cdot b} - 1)$
SNR gap in parts:	$G_{DMT}$	$10 \times \log_{10}(G_{\text{DMT}})$	Modulation gap for DMT
	$G_{coding}$	$10 \times \log_{10}(G_{\text{coding}})$	Coding gain
	$G_{impl}$	$10 \times \log_{10}(G_{impl})$	Implementation loss
Symbol rate	,	f <sub>s</sub>	Symbol rate, being the total number of all DMT
			symbols, transmitted in 1 second (Thus data
			symbols and synch symbols)
		$f_{\sf sd}$	Symbol rate fragment, being the rate of data
			symbols only (without the overhead of synch
			symbols) that carry payloads bits
Line rate		$f_{b}$	Line rate, being the total number of all bits (for
			data, synch and other overhead) that is to be
			transported in 1 sec
		$f_{\sf bd}$	Line rate fragment, caused by the bits in data
		•	symbols only
		$f_{ m bs}$	Line rate fragment, caused by the bits in synch
Data nata			symbols only
Data rate		$f_{d}$	All payload bits that are to be transported in 1 sec (also known as "net data bits")
Available set of sub-		(1)	Can be a subset of all possible sub-carriers.
carriers		{ <i>k</i> }	(e.g. $k \in [7:255]$ )
Centre frequency location		f <sub>k</sub>	$f_k = k \times \Delta f$
of tone k; $k \in \text{tones}$		'K	$\Delta f = 4.3125 \text{ kHz in all current DMT systems}$
Bits per data symbol		, 5,	$b = f_{\text{bd}} / f_{\text{sd}}$
Dits per data symbol		$b = \sum b_k$	The bits of each data symbol are spread out
			over all used sub-carriers, in fragments of $b_k$
Bit-loading algorithm			Can be one of:
		FBL	Fractional bit-loading (a.k.a. water filling)
		TBL	Truncated bit-loading
		RBL	Rounded bit-loading
		GABL	Gain adjusted bit-loading
Minimum bit loading		<i>b</i> <sub>min</sub>	Minimum number of bits per sub-carrier and per
		••••	data symbol
Maximum bit loading		<i>b</i> <sub>max</sub>	Maximum number of bits per sub-carrier and per
			data symbol
Output quantities	linear	in dB	remarks
Noise margin	<i>m</i> <sub>n</sub>	10×log₁₀( <i>m</i> <sub>n</sub> )	
Signal margin	m <sub>s</sub>	10×log₁₀( <i>m</i> ₅)	

Table 22: Parameters used for DMT detection models.

The various parameters in table 22, used within this generic detection model, have the following meaning:

- The SNR-gap (*G*) is a parameter that shows how far from the Shannon capacity limit a modem is performing at a certain bit error rate.
- The symbol rate  $f_s$ , in [baud] or [symbols/s], refers to *all* symbols being transmitted in one second. Most of these are so called *data* symbols, because they carry bits for data transport, but after sending many data symbols, an additional *synch* symbol may be transmitted to keep the DMT transmission synchronized. The bits in each symbol are spread out over all involved DMT sub-carriers.

The symbol rate is the sum of two fragments:

- The *data* symbol rate  $f_{sd}$ , referring only to the rate of *data* symbols
- The *synch* symbol rate  $f_{ss}$ , referring only to the rate of remaining *synch* symbols

In ADSL, for example, one additional *synch* symbol is transmitted after sending 68 *data* symbols, and 4000 *data* symbols are transmitted in one second.

In VDSL, for example, the *data* symbol rate and (total) symbol rate are equal as there is no extra synchronisation symbol as in ADSL.

• The line rate  $f_b$  [bits/s] refers to *all* bits being transmitted over the line in one second, including *all* overhead bits. Examples of overhead bits are bits for synchronization, all types of coding, the embedded operation channel, etc.

Similar to the symbol rate, the line rate is the sum of two fragments:

- o The data line rate  $f_{bd}$ , refers to all bits in data symbols only, and covers payload bits as well as all overhead bits in a data symbol
- The *synch* line rate  $f_{bs}$ , refers to all bits in the remaining *synch* symbols, and can be considered as 100% overhead for transporting payload bits.

The bits in each symbol are spread out over the involved sub-carriers.

- The data rate  $f_d$ , in [bits/s], refers to the rate of *payload* bits only (also known as net data bits) that are to be transported by the DMT system. This rate does not include any transmission overhead, and is therefore lower then the line rate. Performance requirements are usually specified for these rates only, as for example the ETSI standard for ADSL [7].
- The available sub-carriers are specified by a list of integers, indicating what centre frequencies are allocated to individual sub-carriers. For instance in ADSL it can contain any of the sub-carriers from tone 7 to tone 255.
- The centre frequency of a sub-carrier k is  $k \times \Delta f$ , where  $\Delta f$  is the sub-carrier spacing.
- $b_{\min}$  and  $b_{\max}$  are the minimum and maximum number of bits, respectively, used in the masking process of the bit loading.

## 5.3 Generic models for echo coupling

## 5.3.1 Linear echo coupling model

This model describes a property of linear hybrids in transceivers, and models what portion of the transmitted signal couples directly into the receiver. The hybrid is characterized by two parameters:

- §  $R_V$ , representing the output impedance of the transceiver. Commonly used values are the design impedances of the modems under test, including  $100\Omega$  for ADSL and  $135\Omega$  for SDSL.
- § Z<sub>B</sub>, representing the termination impedance that causes the hybrid to be perfectly balanced. This means that when the hybrid is terminated with this "balance impedance", no echo will flow into the receiver. For well-designed hybrids, this balance impedance is a "best guess" approximation of the "average" impedance of cables being used.

Figure 3 shows an equivalent circuit diagram of the above hybrid, represented as a Wheatstone bridge. The associated transfer function  $H_E(jW)$  expresses what portion of the transmit signal will appear as echo.

$$H_{E}(jw) = \frac{U_{E}}{U_{T}} = 1 - \frac{Z_{B}}{R_{V} + Z_{B}} \times \frac{U_{S}}{U_{T}} = \frac{(Z_{L} - Z_{B}) \times R_{V}}{(R_{V} - Z_{B}) \times Z_{L}}$$

$$\frac{P_{RE}}{P_{TS}} = |H_{E}(jw)|^{2}$$

Figure 3: Flow diagram of the basic model for echo coupling. The identifiers  $P_{RE}$  and  $P_{TS}$  refer to power flow values used in figure 1.

When using this basic model for echo coupling in a full simulation, value  $R_{\rm V}$  can be made equal to the design impedance of the modem under test, and value  $Z_{\rm B}$  can be made equal to the complex and frequency dependent input impedance of the cable, terminated at the other cable end with a load impedance equal to  $R_{\rm V}$ . Values for  $R_{\rm V}$  and  $Z_{\rm B}$  are implementation specific.

# 6 Specific receiver performance models for xDSL

This section defines parameter values for the generic performance models of section 5, to provide implementation specific models for various xDSL modems.

## 6.1 Receiver performance model for "HDSL.2B1Q"

<left for further study>

# 6.2 Receiver performance model for "HDSL.CAP"

This calculation model is capable for predicting a performance that is benchmarked against the performance requirements of an ETSI compliant HDSL-CAP modem [4]. The reach predicted by this model, under the stress conditions (loss, noise) of the associated the ETSI HDSL specification [4], is close to the reach required by ETSI specification [4].

The receiver performance model for ETSI compliant HDSL-CAP is build-up from the following building blocks:

- A first order (linear) input model for the input block, specified in clause 5.1.1, that combines all imperfections (front-end noise, residual echo, equalization errors), in one virtual noise source.
- The generic CAP/QAM detection model, specified in clause 5.2.3.
- The parameter values specified in table 23.

The parameter values, used in the receiver performance model for ETSI compliant HDSL-CAP, are summarised in table 23. Parts of them are directly based on HDSL specifications. The remaining values are based on theory, followed by an iterative fit of the model to meet the ETSI reach requirements for HDSL-CAP under the associated stress conditions.

Various parameters are derived directly from the above-mentioned parameters. Their purpose is to simplify the required expression of the CAP/QAM-detection model used.

Model Parameter		HDSL.CAP/2	HDSL.CAP/1
SNR-Gap (effective)	$G_{ extsf{dB}}$	6.8 dB	6.8 dB
SNR-Gap in parts	$G_{CAP\_dB}$	9.8 dB	9.8 dB
	$G_{ m coding\_dB}$	5.0 dB	5.0 dB
	$G_{impl\_dB}$	2.0 dB	2.0 dB
Receiver noise	$P_{RN0\_dB}$	-105 dBm/Hz	-105 dBm/Hz
Data rate	$f_{d}$	2×1024 kb/s	1×2048 kb/s
Line rate	$f_{b}$	1168 kb/s	2330 kb/s
Carrier frequency	$f_{\rm C}$	138.30 kHz	226.33 kHz
bits per symbol	b	5	6
Summation bounds in the	$N_{H}$	+3	+3
CAP/QAM model	$N_{L}$	0	0
Derived Parameter			
Required SNR	SNR <sub>req</sub>	$G \times (2^{b} - 1)$	$G \times (2^{b}-1)$
	SNR <sub>req_dB</sub>	≈ 21.7 dB	≈ 24.8 dB
Symbol rate	$f_{\rm S}$	$f_b / b = 233.6 \text{ kbaud}$	$f_{\rm b} / b = 388.3 \text{ kbaud}$

Table 23. Values for the parameters of the performance model, obtained from ETSI requirements for HDSL-CAP [4].

## 6.3 Receiver performance model for "SDSL"

This calculation model is capable of predicting a performance that is benchmarked against the performance requirements of an ETSI compliant SDSL modem [5]. The reach predicted by this model, under the stress conditions (loss, noise) of the associated the ETSI SDSL specification [5] is close to the reach required by that ETSI specification. Deviations of predictions and requirements are less than 4.5% in reach, and less than 125m. The validity of the predicted performance holds for a wider range of stress conditions. (NOTE: These models are applicable to SDSL 16-UC-PAM at rates up to 2,312 Mb/s.)

The receiver performance model for ETSI compliant SDSL is build-up from the following building blocks:

- A first order (linear) input model for the input block, specified in clause 5.1.1, that combines all imperfections (front-end noise, residual echo, equalization errors), in one virtual noise source ( $P_{RN0}$ ).
- The generic PAM detection model, specified in clause 5.2.2.
- The parameter values specified in table 24.

The parameter values, used in the receiver performance model for ETSI compliant SDSL, are summarised in table 24. Some of these are directly based on SDSL specifications. The remaining values are based on theory.

Various parameters are derived from the above-mentioned parameters. Their purpose is to simplify the required expression of the PAM-detection model used.

Model parameter		SDSL model	
		£ 256 kb/s	> 256 kb/s
SNR-Gap (effective)	$G_{\_dB}$	6.95 dB	6.25 dB
SNR-Gap in parts	$G_{PAM\_dB}$	9.75 dB	9.75 dB
	$G_{coding\_dB}$	4.4 dB	5.1 dB
	$G_{impl\_dB}$	1.6 dB	1.6 dB
Receiver noise	$P_{RN0\_dB}$	-140 dBm/Hz	
Data rate	$f_{d}$	192 2304 kb/s	
Line rate	f <sub>b</sub>	$f_{\rm d}$ + 8 kb/s	
bits per symbol	b	3	
Summation bounds in	N <sub>H</sub>	+1	
PAM model	$N_{L}$	-2	
Derived Parameter			
Required SNR	SNR <sub>req</sub>	$G \times (2^{2b} - 1)$	
	SNR <sub>req_dB</sub>	≈ 24.95 dB	≈ 24.25 dB
Symbol rate	f <sub>s</sub>	f <sub>b</sub> / 3	

Table 24. Values for the parameters of the performance model, obtained from ETSI requirements for SDSL [5].

# 6.4 Receiver performance model for "EC ADSL over POTS"

This calculation model is capable of predicting a performance that is benchmarked against the performance requirements of an ETSI compliant "EC ADSL over POTS" modem. The reach predicted by this model, under the stress conditions of the associated ETSI ADSL specification [7], is close to the minimum reach required by that ETSI specification. Deviations between the predicted reach and this "benchmarked" reach are less then 100m. The validity of the predicted performance holds for a wider range of stress conditions.

The receiver performance model for ETSI compliant "EC ADSL over POTS" is build-up from the following building blocks:

- A first order (linear) input model for the input block specified in clause 5.1.1, that combines all kinds of imperfections (front-end noise, residual echo and equalization errors), in one virtual noise source ( $P_{RN0}$ ).
- The generic DMT detection model, specified in clause 5.2.4.
- The parameter values specified in table 25.

The parameter values, used in the receiver performance model for ETSI compliant "EC ADSL over POTS" modems, are summarised in table 25. Some of these are directly based on ADSL specifications. The remaining values are based on theory.

Model parameter		DMT	model	
		Upstream	Downstream	Remarks
SNR-Gap (effective)	$G_{dB}$	7.5 dB	7.5 dB	
SNR-Gap in parts	$G_{ extsf{DMT\_dB}}$ $G_{ extsf{coding\_dB}}$ $G_{ extit{impl\_dB}}$	9.75 dB 4.25 dB 2.0 dB	9.75 dB 4.25 dB 2.0 dB	
Receiver noise	P <sub>RN0_dB</sub>	-120 dBm/Hz	-135 dBm/Hz	
Symbol rate	$f_{s}$ $f_{sd}$	69/68 × 4000baud 4000 baud	69/68 × 4000 baud 4000 baud	See clause 5.2.4
Data rate	$f_{d}$	32 640 kb/s	32 6144 kb/s	These are minimum ranges only; wider ranges are usually supported
Line rate	f <sub>bd</sub>	$f_{bl} = f_{d} + 16 \times f_{sd}$ $f_{bh} = (f_{d} + 8 \times f_{sd}) \times 1.13$ $f_{bd} = max(f_{bl}, f_{bh})$	$f_{bl} = f_{d} + 16 \times f_{sd}$ $f_{bh} = (f_{d} + 8 \times f_{sd}) \times 1.13$ $f_{bd} = max(f_{bl}, f_{bh})$	See clause 5.2.4
	$f_{b}$	$f_{\rm b} = 69/68 \times f_{\rm db}$	$f_{\rm b} = 69/68 \times f_{\rm db}$	
Bits per symbol	b	$f_{bd} / f_{sd}$	f <sub>bd</sub> / f <sub>sd</sub>	
Available set of sub- carriers	{ <i>k</i> }	<i>k</i> ∈ [7:31]	<i>k</i> ∈ [7:63 , 65:255]	DMT tone k = 64 does not convey any bits because it is reserved as pilot tone.
Centre frequency location of tone k; k ∈ tones	f <sub>k</sub>	$f_{k} = k \times \Delta f$ $\Delta f = 4.3125 \text{ kHz}$	$f_{k} = k \times \Delta f$ $\Delta f = 4.3125 \text{ kHz}$	
Bit-loading algorithm		FBL	FBL	See (clause 5.2.4)
Minimum bit-loading	<i>b</i> <sub>min</sub>	2	2	Bits per tone per symbol
Maximum bit-loading	<i>b</i> <sub>max</sub>	15	15	Bits per tone per symbol

Table 25: Values for the performance parameters extracted from the ETSI performance requirements under ETSI stress conditions.

# 6.5 Receiver performance model for "FDD ADSL over POTS"

The receiver performance models for ETSI compliant "FDD ADSL over POTS" are build-up from the following building blocks:

- A first order (linear) input model for the input block specified in clause 5.1.1, that combines all kinds of imperfections (front-end noise, residual echo and equalization errors), in one virtual noise source ( $P_{RN0}$ ).
- The generic DMT detection model, specified in clause 5.2.4.
- The parameter values specified in table 26.

The model accounts for a guard band between up and downstream: a variant that leaves 1 tone unused, and a variant that leaves 7 tones unused. The use of the latter makes additional modelling of imperfections in echo suppression irrelevant.

The parameter values, used in the receiver performance model for ETSI compliant "FDD ADSL over POTS" modems, are summarised in table 26. Some of these are directly based on ADSL specifications. The remaining values are extracted from the ADSL performance requirements [7] or based on theory.

Model parameter		DMT	model	
		Upstream	Downstream	Remarks
SNR-Gap (effective)	$G_{dB}$	9.3 dB	8.9 dB	
SNR-Gap in parts	$G_{DMT\_dB}$	9.75 dB	9.75 dB	
	$G_{coding\_dB}$	4.25 dB	4.25 dB	
	$G_{impl\_dB}$	4.3 dB	3.9 dB	
Receiver noise	$P_{RN0\_dB}$	-120 dBm/Hz	-140 dBm/Hz	
Symbol rate	f <sub>s</sub>	69/68 × 4000baud	69/68 × 4000 baud	See clause 5.2.4
	$f_{\rm sd}$	4000 baud	4000 baud	
Data rate	f <sub>d</sub>	32 640 kb/s	32 6144 kb/s	These are minimum
				ranges only; wider
				ranges are usually
				supported
Line rate		$f_{\rm bl} = f_{\rm d} + 16 \times f_{\rm sd}$	$f_{\rm bl} = f_{\rm d} + 16 \times f_{\rm sd}$	See clause 5.2.4
	$f_{bd}$	$f_{\rm bh} = (f_{\rm d} + 8 \times f_{\rm sd}) \times 1.13$	$f_{\rm bh} = (f_{\rm d} + 8 \times f_{\rm sd}) \times 1.13$	
		$f_{bd} = \max(f_{bl}, f_{bh})$	$f_{\rm bd} = \max(f_{\rm bl} , f_{\rm bh})$	
	f <sub>b</sub>	$f_{\rm b} = 69/68 \times f_{\rm db}$	$f_{\rm b} = 69/68 \times f_{\rm db}$	
Bits per symbol	b	$f_{\rm bd}$ / $f_{\rm sd}$	f <sub>bd</sub> / f <sub>sd</sub>	
Available set of tones	{ <i>k</i> }	see table [27]	see table [27]	
Centre frequency	$f_{k}$	$f_{k} = k \times \Delta f$	$f_{k} = k \times \Delta f$	
location of tone k;		$\Delta f = 4.3125 \text{ kHz}$	$\Delta f = 4.3125 \text{ kHz}$	
k ∈ tones				
Bit-loading algorithm		FBL	FBL	See clause 5.3.4
Minimum bit-loading	$b_{min}$	<tbd> (see note)</tbd>	<tbd> (see note)</tbd>	Bits per tone per
				symbol
Maximum bit-loading	$b_{max}$	<tbd> (see note)</tbd>	<tbd> (see note)</tbd>	Bits per tone per
				symbol

Table 26: Values for the performance parameters extracted from the minimum ETSI performance requirements under ETSI stress conditions.

FDD ADSL over POTS versions	Available set of tones {k}, for upstream	Available set of tones {k}, for downstream
Guard band FDD	<i>k</i> ∈ [7:30]	<i>k</i> ∈ [38:63 , 65:255]
Adjacent FDD	<i>k</i> ∈ [7:31]	<i>k</i> ∈ [33:63 . 65:255]

Table 27: Set of sub carriers, available for different versions of "ADSL.FDD over POTS" (with or without any guard band between up and downstream).

DMT tone k = 64 does not convey any bits because it is reserved as pilot tone.

NOTE The ADSL standard [8] specifies the bit-loading as integer values between 2 and 15, however the use of a model with "Fractional" bit-loading enables the use of non-integer values to account for other receiver properties as well. This enables the modelling of other receiver characteristics, as if the bit-loading caused them.

Using values for minimum bit-loading between 1.5 and 2 may account for the power adjustment of individual levels that minimizes the loss of capacity. A value of 1.5 may be too optimistic and a value of 2 may be too pessimistic, and therefore this level has been left for further study,

Using values for maximum bit-loading lower than 15 may account for imperfections in the equalizer causing an upper limit of the effective SNR at the detector. Practical implementations of ADSL that facilitate effective SNR values above 55 dB can take advantage of the full bit-loading range (up to 15). The ETSI reach requirements, however, are based on bit rates for short loops (high SNR) that are significantly lower then expected from effective SNR values better then 55 dB. Therefore the value for this maximum bit-loading has been left for further study.

When this model is used for simulation purposes, the chosen values for minimum and maximum bitloading shall be specified.

# 6.6 Receiver performance model for "EC ADSL over ISDN"

This calculation model is capable of predicting a performance that is benchmarked against the performance requirements of an ETSI compliant "EC ADSL over ISDN" modem. The reach predicted by this model, under the stress conditions of the associated ETSI ADSL specification [7], is close to the minimum reach required by that ETSI specification. Deviations between the predicted reach and this "benchmark" reach are in most cases less then 80m. The validity of the predicted performance holds for a wider range of stress conditions.

The receiver performance model for ETSI compliant "EC ADSL over ISDN" is build-up from the following building blocks:

- A first order (linear) input model for the input block specified in clause 5.1.1, that combines all kinds of imperfections (front-end noise, residual echo and equalization errors), in one virtual noise source ( $P_{RN0}$ ).
- The generic DMT detection model, specified in clause 5.2.4.
- The parameter values specified in table 28.

The parameter values, used in the receiver performance model for ETSI compliant "EC ADSL over ISDN" modems, are summarised in table 28. Some of these are directly based on ADSL specifications. The remaining values are based on theory.

Model parameter		DMT model		
		Upstream	Downstream	Remarks
SNR-Gap (effective)	$G_{dB}$	7.8 dB	7.5 dB	
SNR-Gap in parts	$G_{DMT\_dB}$	9.75 dB	9.75 dB	
	$G_{\text{coding dB}}$	4.25 dB	4.25 dB	
	$G_{impl\_dB}$	2.3 dB	2.0 dB	
Receiver noise	P <sub>RN0_dB</sub>	-120 dBm/Hz	-135 dBm/Hz	
Symbol rate	f <sub>s</sub> f <sub>sd</sub>	69/68 × 4000 baud 4000 baud	69/68 × 4000 baud 4000 baud	See clause 5.2.4
Data rate	f <sub>d</sub>	32 640 kb/s	32 6144 kb/s	These are minimum ranges only; wider ranges are usually supported
Line rate	f <sub>bd</sub>	$f_{bl} = f_{d} + 16 \times f_{sd}$ $f_{bh} = (f_{d} + 8 \times f_{sd}) \times 1.13$ $f_{bd} = \max(f_{bl}, f_{bh})$	$f_{bl} = f_d + 16 \times f_{sd}$ $f_{bh} = (f_d + 8 \times f_{sd}) \times 1.13$ $f_{bd} = \max(f_{bl}, f_{bh})$	See clause 5.2.4
	$f_{b}$	$f_{\rm b} = 69/68 \times f_{\rm db}$	$f_{\rm b} = 69/68 \times f_{\rm db}$	
Bits per symbol	b	$f_{bd} / f_{sd}$	f <sub>bd</sub> / f <sub>sd</sub>	
Available set of sub- carriers	{ <i>k</i> }	<i>k</i> ∈ [33:63]	<i>k</i> ∈ [33:95, 97:255]	DMT tone k = 96 does not convey any bits
			Tone 96 = pilot tone	because it is reserved as pilot tone.
Centre frequency	f <sub>k</sub>	$f_{k} = k \times \Delta f$	$f_{\rm k} = k \times \Delta f$	
location of tone k;		$\Delta f = 4.3125 \text{ kHz}$	$\Delta f = 4.3125 \text{ kHz}$	
k ∈ tones				
Bit-loading algorithm		FBL	FBL	See (clause 5.2.4)
Minimum bit-loading	<i>b</i> <sub>min</sub>	2	2	Bits per tone per symbol
Maximum bit-loading	<i>b</i> <sub>max</sub>	15	15	Bits per tone per symbol

Table 28: Values for the performance parameters extracted from the ETSI performance requirements under ETSI stress conditions.

# 6.7 Receiver performance model for "FDD ADSL over ISDN

The downstream receiver performance model for ETSI compliant "FDD ADSL over ISDN" is build-up from the following building blocks:

- A first order (linear) input model for the input block specified in clause 5.1.1, that combines all kinds of imperfections (front-end noise, residual echo and equalization errors), in one virtual noise source ( $P_{RN0}$ ).
- The generic DMT detection model, specified in clause 5.2.4.
- The parameter values specified in table 29.

The model accounts for a guard band between up and downstream: a variant without a guard band, and a variant that leaves 7 tones unused. The use of the latter makes additional modelling of imperfections in echo suppression irrelevant.

The parameter values, used in the receiver performance model for ETSI compliant "FDD ADSL over ISDN" modems, are summarised in table 29. Some of these are directly based on ADSL specifications. The remaining values are extracted from the ADSL performance requirements [7] or based on theory.

Model parameter		DMT	model	
		Upstream	Downstream	Remarks
SNR-Gap (effective)	$G_{dB}$	9.6 dB	9.0 dB	
SNR-Gap in parts	$G_{DMT\_dB}$ $G_{coding\_dB}$ $G_{impl\_dB}$	9.75 dB 4.25 dB 4.6 dB	9.75 dB 4.25 dB 4.0 dB	
Receiver noise	P <sub>RN0_dB</sub>	-120 dBm/Hz	-140 dBm/Hz	
Symbol rate	$f_{\rm s}$ $f_{\rm sd}$	69/68 × 4000 baud 4000 baud	69/68 × 4000 baud 4000 baud	See clause 5.2.4
Data rate	f <sub>d</sub>	32 640 kb/s	32 6144 kb/s	These are minimum ranges only; wider ranges are usually supported
Line rate	$f_{bd}$	$f_{bl} = f_{d} + 16 \times f_{sd}$ $f_{bh} = (f_{d} + 8 \times f_{sd}) \times 1.13$ $f_{bd} = \max(f_{bl}, f_{bh})$	$f_{bl} = f_{d} + 16 \times f_{sd}$ $f_{bh} = (f_{d} + 8 \times f_{sd}) \times 1.13$ $f_{bd} = \max(f_{bl}, f_{bh})$	See clause 5.2.4
	f <sub>b</sub>	$f_{\rm b} = 69/68 \times f_{\rm db}$	$f_{\rm b} = 69/68 \times f_{\rm db}$	
Bits per symbol	b	$f_{\rm bd}$ / $f_{\rm sd}$	$f_{\rm bd}$ / $f_{\rm sd}$	
Available set of tones	{ <i>k</i> }	See table 30	See table 30	
Centre frequency	$f_{k}$	$f_{\rm k} = k \times \Delta f$	$f_{\rm k} = k \times \Delta f$	
location of tone k;		$\Delta f = 4.3125 \text{ kHz}$	$\Delta f = 4.3125 \text{ kHz}$	
k ∈ tones				
Bit-loading algorithm		FBL	FBL	See clause 5.2.4
Minimum bit-loading	<i>b</i> <sub>min</sub>	<tbd> (see note)</tbd>	<tbd> (see note)</tbd>	Bits per tone per symbol
Maximum bit-loading	<i>b</i> <sub>max</sub>	<tbd> (see note)</tbd>	<tbd> (see note)</tbd>	Bits per tone per symbol

Table 29: Values for the performance parameters extracted from the ETSI performance requirements under ETSI stress conditions.

FDD ADSL over ISDN versions	Available set of tones {k}, for upstream	Available set of tones {k}, for downstream
Guard band FDD	<i>k</i> ∈ [33:56]	<i>k</i> ∈ [64:95 , 97:255]
Adjacent FDD	<i>k</i> ∈ [33:63]	<i>k</i> ∈ [64:95 , 97:255]

Table 30: Set of sub carriers, available for different versions of "FDD ADSL over ISDN" (with or without any guard band between up and downstream).

DMT tone k = 96 does not convey any bits because it is reserved as pilot tone.

NOTE The ADSL standard specifies the bit-loading as integer values between 2 and 15, however the use of a model with "Fractional" bit-loading enables the use of non-integer values to account for other receiver properties as well. This enables the modelling of other receiver characteristics, as if the bit-loading caused them.

Using values for minimum bit-loading between 1.5 and 2 may account for the power adjustment of individual levels that minimizes the loss of capacity. A value of 1.5 may be too optimistic and a value of 2 may be too pessimistic, and therefore this level has been left for further study,

Using values for maximum bit-loading lower than 15 may account for imperfections in the equalizer causing an upper limit of the effective SNR at the detector. Practical implementations of ADSL that facilitate effective SNR values above 55 dB can take advantage of the full bit-loading range (up to 15). The ETSI reach requirements, however, are based on bit rates for short loops (high SNR) that are significantly lower then expected from effective SNR values better then 55 dB. Therefore the value for this maximum bit-loading has been left for further study.

When this model is used for simulation purposes, the chosen values for minimum and maximum bit-loading shall be specified.

## 6.8 Receiver performance model for "VDSL"

<left for further study>

## 7 Transmission and reflection models

## 7.1 Summary of test loop models

This section is for further study, and is intended to refer to various cable models, being published in several documents

# 8 Cross talk models

Cross talk models account for the fact that the transmission is impaired by cross talk originated from discrete disturbers distributed over the local loop wiring. In practice this is not restricted to a linear cable topology, since wires may fan out into different directions to connect for instance different customers to a central office

The most simple topology models assume that all disturbers are co-located at only two locations; one at each end of a cable. This approximation is computational convenient but is generally inadequate to represent the real world. For example it will conceal effects due to fan out of the wires (relevant for short loops), and due to secondary NEXT.

More advanced topology models require a multi-node co-location approach. An example is the insertion of repeaters that introduces co-located disturbers in-between. Another example is deploying VDSL from the cabinet for the situation that all customers are distributed along the cable.

This clause summarises different cross talk models for different topologies, sorted by complexity, and provides several cross talk models to predict how much noise is coupled into a victim wire pair.

# 8.1 Overview of different network topologies

Simulation result is highly dependent on the chosen network topology, which is very country and location specific. A summary of those topologies is for further study.

# 8.2 Validity limitations of cross talk modelling

<for further study>

## 8.3 Generic cross talk models for two-node co-location

The cross talk models in this sub clause apply to scenarios in which it can be assumed that all customers are virtually co-located, and that they are all served from the central office. The result is that such a cross talk model requires only two nodes (one on the LT side, and another one on the "common" NT side). These nodes are interconnected by means of a multi wire pair cable.

It is recommended to use the multi-node approach in clause 8.4, because this makes the simulation more realistic. A two-node approach implies that all NT disturbers are virtually collocated, and this is too pessimistic. This may cause pessimistic downstream results. Cross talk models are built up from several building blocks, and the way these blocks are interconnected is defined by means of a topology diagram.

## 8.3.1 Basic diagram for two-node topologies

The basic flow diagram for describing a topology in which xDSL equipment is assumed to be co-located at two nodes (the two ends of a cable) is shown in figure 4 and 5. Upstream and downstream performance are evaluated separately. The approach of this diagram can be described in three distinct steps.

- The diagram combines for each node the output disturbance of individual disturbers ( $P_{\rm dl}$ ,  $P_{\rm d2}$ , ...) by modelling *cross talk cumulation* as an isolated building block. The cumulant is usually not a linear (weighed) sum of the individual disturbing powers, because we are in general interested in a near worst case bound of the crosstalk level. The obvious linear (weighed) sum of powers is appropriate only for the mean power level case. By modelling cross talk cumulation as an isolated building block, the cumulated disturbance can be thought as if it was virtually generated by a single equivalent disturber ( $P_{\rm d.eq}$ ). This has been indicated in figure 4 and 5 by a box drawn around the involved building blocks. Using the equivalent disturber concept as intermediate result yields an elegant concept to break down the complexity of a full noise scenario into smaller pieces.
- Next, the diagram evaluates what noise level (P<sub>XN</sub>) is coupled into the victim wire pair. Figure 4 and 5 illustrate what portion of the equivalent disturbance is coupled into the victim wire pair by using models for NEXT and FEXT. On top of this, background noise (P<sub>bn</sub>) can be added. This can be used to represent all unidentified noise sources, line shared noise (from POTS/ISDN to ADSL), or anything else not incorporated in the NEXT and FEXT coupling models.
  Since it is a generic diagram, the power level of this background noise level is left undefined here, but commonly used values are zero, or levels as low as P<sub>bn</sub>=-140 dBm/Hz.
- When all building blocks are modelled for the same impedance as implemented in the modem under study, the noise level ( $P_{RN}$ ) received by the modem under test equals the level derived so far ( $P_{XN}$ ). In practice, these models are normalized at some chosen reference impedance  $R_n$ , and this  $R_n$  may be different from the impedance implemented in the modem under study (targeted at its design impedance  $R_V$ ). This "mismatch" will cause a change in the level of the disturbance, and this effect is modelled by the noise injection building block.

The succeeding clauses summarise some generic models for the individual building blocks of figure 4 and 5.

The transfer functions  $H_{\text{next}}$  and  $H_{\text{fext}}$  of the building blocks for NEXT and FEXT are linear and frequency dependent. The model for the topology assumes that all disturbers are uncorrelated, which causes that the cross talk power  $P_{\text{XN}}$  behind the summation block is the sum of all individual powers. This transfer functions are specified in expression 14.

$$\begin{array}{lclcl} P_{XN,NT} & = & P_{d.eq,NT} \times \left| H_{next} \right|^2 & + & P_{d.eq,LT} \times \left| H_{fext} \right|^2 & + & P_{bn,NT} \\ P_{XN,LT} & = & P_{d.eq,LT} \times \left| H_{next} \right|^2 & + & P_{d.eq,NT} \times \left| H_{fext} \right|^2 & + & P_{bn,LT} \end{array}$$

Expression 14: Evaluation of the cross talk power levels, that flow into the noise injection blocks of the two-node topology models in figure 4 and 5.

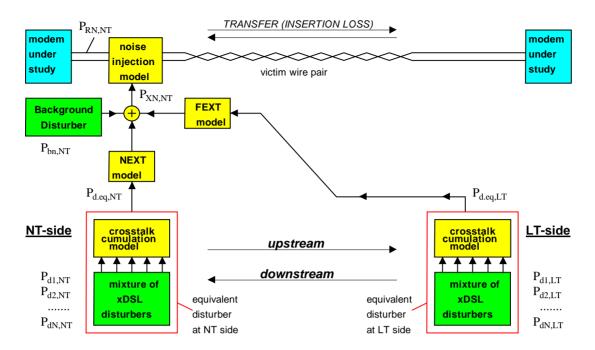


Figure 4: Flow diagram of the basic model for two-node topologies, for evaluating downstream performance

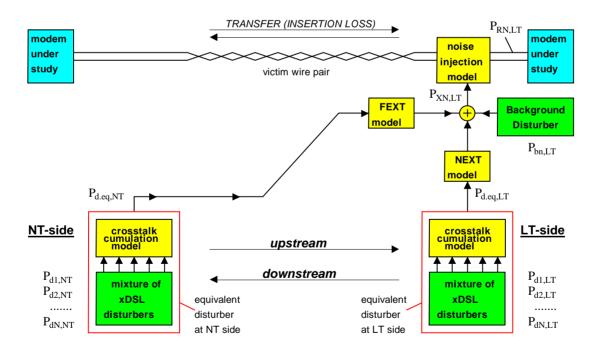


Figure 5: Flow diagram of the basic model for two-node topologies, for evaluating upstream performance

## 8.3.2 Models for cross talk cumulation

The noise that couples into a victim wire pair, and originates from several co-located disturbers connected to different wire pairs, cumulate in level. This cumulation cannot be modelled by a simple *linear* power sum of all individual disturbers, because each wire pair couples at different ratio to the victim wire pair. Therefore the cumulation requires some *weighted* power sum that accounts for the statistical distribution of all involved cross talk coupling ratios. On input, the cumulation building block requires the levels  $(P_{d1}...P_{dN})$  of all involved individual disturbers that are colocated. On output, the cumulation building block evaluates the level of the equivalent disturbance  $(P_{d.eq})$ . This sub clause provides expressions to model building blocks for cross talk cumulation.

## 8.3.2.1 FSAN sum for cross talk cumulation

The FSAN sum is generally used to model cross talk cumulation, and is specified in expression 15. The (frequency dependent) power level of the equivalent disturbance, which combines M individual disturbers, is expressed below.

$$P_{d,eq}(f) = \left(P_{d1}(f)^{K_n} + P_{d2}(f)^{K_n} + P_{d3}(f)^{K_n} + \mathbf{L} + P_{dM}(f)^{K_n}\right)^{1/K_n}, \quad \text{with } K_n = 1/0.6$$

Expression 15: FSAN sum for cumulating the power levels of *M* individual disturbers into the power level of an equivalent disturber

 $K_n$  is assumed to be frequency independent. In the special case that all M disturbers generates equal power levels  $(P_d)$  at all frequencies of interest, the FSAN sum simplifies into  $P_{d,eq}(f) = P_d(f) \times M^{1/Kn}$ .

NOTE: For some cables used in the Netherlands, it has been observed that a slightly different value for  $K_n$  provides a better fit with measurements on these cables. For instance, values between 1/0,6 and 1/0,8 have been observed. For those cases in the Netherlands, these values for  $K_n$  may be more appropriate for use in expression 15.

The FSAN sum ignores differences in source impedances of different disturber types. For cumulating disturbance from sources with different impedances, their *available* power levels are to be combined according to the FSAN sum. This available power of a source is the power dissipated in a load resistance, equal to the source impedance.

## 8.3.3 Models for cross talk coupling

The spread in cross talk coupling between wire pairs in a real twisted pair cable is significant, and the coupling fluctuates rapidly when the frequency increases. The cross talk from a single disturber is therefore random in nature.

When the number of co-located disturbers increases, the fluctuations reduce significantly. Models for cross talk coupling take advantage of this effect and their simplicity increases when the number of co-located disturbers increases.

*Equivalent* cross talk coupling of a cable is the ratio between the level of the cross talk in the victim wire pair and the level of an equivalent disturber evaluated by some cross talk cumulation model, while connecting as much individual disturbers as possible to the cable under study.

This cross talk sum will be different for each wire pair, due to the random nature of the coupling. Commonly accepted models for equivalent cross talk coupling represent 99% of the victim wire pairs. This is to approximate 100% of the cases, without being pessimistic for the very last extreme 1% case.

This sub clause provides expressions to model the building blocks for *equivalent* cross talk coupling.

## 8.3.3.1 Basic models for equivalent NEXT and FEXT

Expression set 16 specifies how to model the transfer functions of the equivalent NEXT and FEXT building blocks. The specification is based on the following constants, parameters and functions:

- Variable *f* identifies the frequency.
- Constant  $f_0$  identifies a chosen reference frequency, commonly set to  $f_0 = 1$  MHz.
- Variable L identifies the physical length of the cable between the two nodes in meters. Constant  $L_0$  identifies a chosen reference length, commonly set to  $L_0 = 1$  km.
- Function  $s_T(f, L)$  represents the frequency and length dependent amplitude of the transmission function of the actual test loop, normalized to a reference impedance  $R_n$ . This value equals  $s_T=|s_{21}|$ , where  $s_{21}$  is the transmission sparameter of the loop normalized to  $R_n$  This  $R_n$  is commonly set to 135 $\Omega$ .
- Constant  $K_{xn}$  identifies an empirically obtained number that scales the NEXT transfer function  $H_{next}(f, L)$ .
- Constant  $K_{xf}$  identifies an empirically obtained number that scales the FEXT transfer function  $H_{fext}(f, L)$ .

$$H_{next}(f,L) = K_{xn} \times \left( \frac{f}{f_0} \right)^{0.75} \times \sqrt{1 - \left| s_T(f,L) \right|^4}$$

$$H_{fext}(f,L) = K_{xf} \times \left( \frac{f}{f_0} \right)^{0.75} \times \sqrt{L/L_0} \times \left| s_T(f,L) \right|$$

## Expression 16: Transfer functions of the basic models for NEXT and FEXT

NOTE: Values for  $K_{xn}$  and  $K_{xf}$  are cable specific, and are to be specified for each scenario being studied. Commonly used values (in dB) for generic European studies, not dedicated to any particular cable or region, are:  $K_{xn\_dB} = -50$  dB and  $K_{xf\_dB} = -45$  dB for  $f_0 = 1$  MHz and  $L_0 = 1$  km.

## 8.3.4 Models for cross talk injection

Several sub models for various building blocks within the cross talk model ignore the fact that when the modem and cable impedance changes, the noise (and signal) observed by the receiver will change as well. For instance, when the input impedance ( $Z_{xdsl}$ ) of the receiver under test decreases, the received noise level will decrease as well. To account for this effect, a cross talk injection block is included in the topology models in figure 4 and 5.

The transfer function of the cross talk injection block identified as  $H_{xi}$ , and is frequency and impedance dependent. Expression 17 illustrates how to use this transfer function for evaluating the power level  $P_{RN}$  from power level  $P_{XN}$ .

$$P_{RN} = P_{XN} \times \left| H_{xi} \right|^2$$

Expression 17: Evaluation of the receive noise level from the cross talk noise level under matched conditions, by a transfer function of the noise injector.

A transfer function that models the impact of impedance mismatch can be very complex, and therefore several simplified transfer functions are commonly used to approximate this effect. This sub clause summarises a few of these approximations.

## 8.3.4.1 Forced noise injection

When cross talk is modelled by means of *forced* noise injection, then all impedance and frequency dependency of noise injection is ignored. The associated transfer function is shown in expression 18.

$$H_{\text{u}}(f) = 1$$

Expression 18: Transfer function for forced noise injection.

## 8.3.4.2 Current noise injection

When cross talk is modelled by means of *current* noise injection, then it is assumed that the impedance dependency can be represented by the equivalent circuit diagram shown in figure 6. The associated transfer function is shown in expression 19.

- The *injection condition* holds when the performance is evaluated. Impedance  $Z_{LX}$  represents the cable with its terminating impedance at the other ends of the line.  $Z_{LX}$  is usually a frequency dependent and complex impedance.
- The calibration condition holds for the situation that noise has been evaluated. Z<sub>cal</sub> should be a well-defined impedance. This can be a complex artificial impedance approximating Z<sub>LX</sub>, or simply a fixed real impedance. In the special case that Z<sub>cal</sub>≡Z<sub>LX</sub>, the concept of "current injection" simplifies into "forced injection" as described in the previous clause.

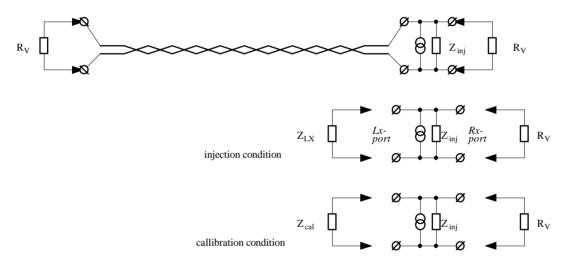


Figure 6: Current injection enables modelling of the impedance dependent behaviour of cross talk noise levels.

The transfer function  $H_{xi}(f)=(U_i/U_c)$  between (a) the signal voltage  $U_i$  over impedance  $R_V$  during injection condition, and (b)  $U_c$  during calibration condition, equals:

$$H_{xi}(f,Z_{LX}) = \left(\frac{\frac{1}{Z_{cal}} + \frac{1}{Z_{inj}} + \frac{1}{R_{V}}}{\frac{1}{Z_{LX}} + \frac{1}{Z_{inj}} + \frac{1}{R_{V}}}\right)$$

Expression 19: Transfer function to model impedance dependency according to the current injection method.

# 8.4 Generic cross talk models for multi-node co-location

<for further study>

# 9 Examples of evaluating various scenarios

This section has left for further study, and is intended to show how the models in this document can be used to perform spectral management studies.

# Annex A: Bibliography

- ETSI-TM6(97)02: "Cable reference models for simulating metallic access networks", R.F.M. van den Brink, ETSI-TM6, Permanent document TM6(97)02, revision 3, Luleå, Sweden, June 1998 (970p02r3).

# History

		Document history	
V0.0.0	28 January 2002	Creation of TOC and first draft.	
Rev 1	15 march 2002	Textual refinement of Scope, TOC and introductory text.	
Rev 2	6 June 2002	Minor refinement on definitions.	
Rev 3	6 Dec 2002	Insertion of models for receiver input block (+echo loss), a 2-node cross talk model, and PSD templates for transmitter signal models HDSL.CAP/2 and SDSL.	
Rev 4	28 may 2003	Insertion of generic detection models (Shifted Shannon; PAM, CAP/QAM). Correction of SDSL transmitter PSD. Rephrasing of few words and corrections of typo errors.	
Rev 5	29 Aug 2003	Insertion of transmitter/disturber models for ISDN.2B1Q, and receiver performance models for HDSL.CAP and SDSL.	
Rev 6	4 Feb 2004	Insertion of transmitter/disturber models for HDSL.2B1Q, and receiver performance models for the EC versions of "EC ADSL over POTS" and "EC ADSL over ISDN".	
		Restructuring clause 5: Rephrasing the generic text of clause 5.1, by leaving out all details on out how to model echo. Move of the text of clause 7.2 to clause 5.3, because that was more appropriated, and a slightly rephrased for clarity.	
		Removal of empty placeholders for all kinds of template PSDs for proprietary systems, since no contribution is received nor expected. These placeholders can be reinserted as soon as a proposal for the associated template PSDs is contributed to ETSI-TM6.	
Rev 7	26 may 2004	Addition to the scope on the application of these computer models.	
		Addition of definitions/terminology, identified in "part 3" to achieve consistent terminology among all 3 parts. Refinement of the associated text.	
		Correction of typo in HDSL.CAP/2 template (P=70 dBm/Hz @ f=297kHz).	
		Insertion of PSD templates for four flavours of ADSL (EC and FDD, over POTS and over ISDN).	
		Insertion of the generic DMT detection model.	
Rev 8	6 July 2004	Insertion of text for power back-off for SDSL and ADSL transmitter models, and for the definitions.	
		Insertion of receiver models for the FDD variants of ADSL, while leaving the values for min and maximum bit-loading explicitly for further study.	
		Refinement of words in "definitions" and update of all "references" and "abbreviations".	
		This version will be forwarded for AbC (Approval by Correspondence).	
Rev 9	18 Nov 2004	Update of all editorial issues, collected during the AbC-procedure of august 2004, and agreed during the TM6 meetinf of September 2004 (see contribution 043t32R1)	
Rev 10	25 May 2005	Insertion of PSD templates for ISDN.MMS43, line shared ISDN.2B1Q, line shared ISDN.MMS43, ADSL2/J and ADSL2/M. Insertion of (provisionally agreed) solution for slope in downstream PSD template for ADSL (clearly marked as such).	